

**FEC  
FORM 3X****REPORT OF RECEIPTS  
AND DISBURSEMENTS**  
For Other Than An Authorized Committee

Office Use Only

1. NAME OF  
COMMITTEE (in full)**USE FEC MAILING LABEL  
OR TYPE OR PRINT**Example: If typing, type  
over the lines

Action Fund of Lehman Brothers Holdings Inc.

ADDRESS (number and street)

745 Seventh Avenue 13th Floor

☐Check if different  
than previously  
reported. (ACC)

New York

NY

10019

6801

2. **FEC IDENTIFICATION NUMBER**

CITY

STATE

ZIP CODE

C00127670

3. IS THIS  
REPORT☒NEW  
(N)

OR

☐AMENDED  
(A)4. **TYPE OF REPORT**

(Choose One)

(a) Quarterly Reports:

☐April 15  
Quarterly Report(Q1)☐July 15  
Quarterly Report(Q2)☐October 15  
Quarterly Report(Q3)☐January 31  
Quarterly Report(YE)☐July 31 Mid-Year  
Report(Non-election  
Year Only) (MY)☐Termination Report  
(TER)(b) Monthly  
Report  
Due On:☐

Feb 20 (M2)

☐

May 20 (M5)

☐

Aug 20 (M8)

☐Nov 20 (M11)  
(Non-Election  
Year Only)☐

Mar 20 (M3)

☐

Jun 20 (M6)

☐

Sep 20 (M9)

☐Dec 20 (M12)  
(Non-Election  
Year Only)☐

Apr 20 (M4)

☐

Jul 20 (M7)

☐

Oct 20 (M10)

☐

Jan 31 (YE)

(c) 12-Day  
**PRE-Election**  
Report for the:☐

Primary (12P)

☐

General (12G)

☐

Runoff (12R)

☐

Convention (12C)

☐

Special (12G)

Election on

in the  
State of(d) 30-Day  
**Post -Election**  
Report for the:☒

General (30G)

☐

Runoff (30R)

☐

Special (30S)

Election on

in the  
State of

5. Covering Period

10

19

2006

through

11

27

2006

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer

Joseph Beukers

Signature of Treasurer

Electronically Filed by Joseph Beukers

Date

12

07

2006

NOTE : Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C 437g.

Office  
Use  
Only**FEC FORM 3X**  
(Rev. 02/2003)

# SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)

Page 2

Write or Type Committee Name

Action Fund of Lehman Brothers Holdings Inc.

Report Covering the Period:

From:

M	M	D	D	Y	Y	Y	Y
1	0	1	9	2	0	0	6

To:

M	M	D	D	Y	Y	Y	Y
1	1	2	7	2	0	0	6

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1 <span>2006</span>		283465.02
(b) Cash on Hand at Beginning of Reporting Period .....	268803.79	
(c) Total Receipts (from Line 19) .....	28813.08	121141.85
(d) Subtotal (add lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B) .....	297616.87	404606.87
7. Total Disbursements (from Line 31) .....	1427.38	108417.38
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)) .....	296189.49	296189.49
9. Debts and Obligations owed <b>TO</b> the committee (Itemize all on Schedule C and/or Schedule D) .....	0.00	
10. Debts and Obligations owed <b>BY</b> the committee (Itemize all on Schedule C and/or Schedule D) .....	0.00	

☒ This Committee has qualified as a multicandidate committee. (see FEC FORM 1M)

## For further information contact:

Federal Election Commission  
999 E street, NW  
Washington, DC 20463

Toll Free 800-424-9530  
Local 202-694-1100

# **DETAILED SUMMARY PAGE OF RECEIPTS**

FEC Form 3X (Rev. 02/2003)

Page 3

Write or Type Committee Name

Action Fund of Lehman Brothers Holdings Inc.

Report Covering the Period:

From:

M	M	D	D	Y	Y	Y	Y
1	0	1	9	2	0	0	6

To:

M	M	D	D	Y	Y	Y	Y
1	1	2	7	2	0	0	6

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees	27400.29	86839.56
(i) Itemized (use Schedule A) .....		
(ii) Unitemized .....	1179.00	32234.25
(iii) TOTAL (add Lines 11(a)(i) and (ii) ..... ➤	28579.29	119073.81
(b) Political Party Committees .....	0.00	0.00
(c) Other Political Committees (such as PACs) .....	0.00	0.00
(d) Total Contributions (add Lines 11(a)(iii), (b) and (c)) (Carry Totals to Line 33, page 5) ..... ➤	28579.29	119073.81
12. Transfers From Affiliated/Other Party Committees .....	0.00	0.00
13. All Loans Received .....	0.00	0.00
14. Loan Repayments Received .....	0.00	0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5) .....	0.00	0.00
16. Refunds of Contributions Made to Federal candidates and Other Political Committees .....	0.00	0.00
17. Other Federal Receipts (Dividends, Interest, etc.) .....	233.79	2068.04
18. Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account (from Schedule H3) .....	0.00	0.00
(b) Levin Funds (from Schedule H5) .....	0.00	0.00
(c) Total Transfer (add 18(a) and 18(b)).	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c)) .....	28813.08	121141.85
20. Total Federal Receipts (subtract Line 18(c) from Line 19) .....	28813.08	121141.85

**DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. DISBURSEMENTS		COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:			
(a) Shared Federal/Non-Federal Activity (from Schedule H4)		0.00	0.00
(i) Federal Share.....			
(ii) Non-Federal Share.....		0.00	0.00
(b) Other Federal Operating Expenditures.....		427.38	737.38
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii) and (b)).....		427.38	737.38
22. Transfers to Affiliated/Other Party Committees.....		0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....		1000.00	107680.00
24. Independent Expenditure (use Schedule E) .....		0.00	0.00
25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F).....		0.00	0.00
26. Loan Repayments Made.....		0.00	0.00
27. Loans Made.....		0.00	0.00
28. Refunds of Contributions To:			
(a) Individuals/Persons Other Than Political Committees .....		0.00	0.00
(b) Political Party Committees		0.00	0.00
(c) Other Political Committees (such as PACs) .....		0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)) .....		0.00	0.00
29. Other Disbursements.....		0.00	0.00
30. Federal Election Activity (2 U.S.C 431(20))			
(a) Shared Federal Election Activity (from Schedule H6)			
(i) Federal Share .....		0.00	0.00
(ii) "Levin" Share .....		0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds .....		0.00	0.00
(c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b))....		0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c))..		1427.38	108417.38
32. Total Federal Disbursements (subtract Line 21(a)(ii) from Line 30(a)(ii) from Line 31).....		1427.38	108417.38

**DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) from Line 11(d), page 3) .....	28579.29	119073.81
34. Total Contribution Refunds (from Line 28(d)) .....	0.00	0.00
35. Net Contributions (other than loans) (subtract Line 34 from Line 33) .....	28579.29	119073.81
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)).....	427.38	737.38
37. Offsets to Operating Expenditures (from Line 15, page 3) .....	0.00	0.00
38. Net Operating Expenditures (subtract Line 37 from Line 36) .....	427.38	737.38

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 6 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)

Stanley Abrams

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

660.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 1 / 0 3 / 2 0 0 6

Transaction ID: A2006-1555806

Amount of Each Receipt this Period

60.00

B. Full Name (Last, First, Middle Initial)

Erik R Addington

Mailing Address 246 Midwood St.

City State Zip Code  
 Brooklyn NY 10285

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

357.50

Date of Receipt

M M / D D / Y Y Y Y  
 1 1 / 0 3 / 2 0 0 6

Transaction ID: A2006-1555918

Amount of Each Receipt this Period

32.50

C. Full Name (Last, First, Middle Initial)

Mr. Arlene Adler-Kleiman

Mailing Address 25 East 86 Street

City State Zip Code  
 New York NY 10028

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

360.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 1 / 1 6 / 2 0 0 6

Transaction ID: A2006-1746754

Amount of Each Receipt this Period

360.00

SUBTOTAL of Receipts This Page (optional) .....

452.50

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 7 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

**A.** Full Name (Last, First, Middle Initial)  
Rodolpho Amboss  
Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

315.00

Date of Receipt

M M / D D / Y Y Y Y  
1 0 / 3 1 / 2 0 0 6

Transaction ID: A2006-1537115

Amount of Each Receipt this Period

15.00

**B.** Full Name (Last, First, Middle Initial)  
Rodolpho Amboss  
Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

330.00

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 0 3 / 2 0 0 6

Transaction ID: A2006-1555852

Amount of Each Receipt this Period

15.00

**C.** Full Name (Last, First, Middle Initial)  
Rodolpho Amboss  
Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

345.00

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 1 7 / 2 0 0 6

Transaction ID: A2006-1668662

Amount of Each Receipt this Period

15.00

**SUBTOTAL** of Receipts This Page (optional) .....

45.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 8 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

<b>A.</b> Full Name (Last, First, Middle Initial) Kaushik Amin		Date of Receipt M M / D D / Y Y Y Y Y 1 0 / 3 1 / 2 0 0 6
Mailing Address 745 SEVENTH AVENUE		<b>Transaction ID:</b> A2006-1537191
City NEW YORK	State NY	Zip Code 10019
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 50.00
Name of Employer LEHMAN BROTHERS HOLDINGS INC.	Occupation VICE PRESIDENT	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 1050.00	

<b>B.</b> Full Name (Last, First, Middle Initial) Kaushik Amin		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 0 3 / 2 0 0 6
Mailing Address 745 SEVENTH AVENUE		<b>Transaction ID:</b> A2006-1555933
City NEW YORK	State NY	Zip Code 10019
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 50.00
Name of Employer LEHMAN BROTHERS HOLDINGS INC.	Occupation VICE PRESIDENT	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 1100.00	

<b>C.</b> Full Name (Last, First, Middle Initial) Kaushik Amin		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 1 7 / 2 0 0 6
Mailing Address 745 SEVENTH AVENUE		<b>Transaction ID:</b> A2006-1668737
City NEW YORK	State NY	Zip Code 10019
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 50.00
Name of Employer LEHMAN BROTHERS HOLDINGS INC.	Occupation VICE PRESIDENT	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 1150.00	

**SUBTOTAL** of Receipts This Page (optional) .....

150.00

**TOTAL** This Period (last page this line number only) .....



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 9 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. James k Asselstine

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
SENIOR VICE PRESIDENT

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1050.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 0 / 3 1 / 2 0 0 6

Transaction ID: A2006-1537175

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

B. James k Asselstine

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
SENIOR VICE PRESIDENT

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1100.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 1 / 0 3 / 2 0 0 6

Transaction ID: A2006-1555916

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

C. James k Asselstine

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
SENIOR VICE PRESIDENT

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1150.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 1 / 1 7 / 2 0 0 6

Transaction ID: A2006-1668721

Amount of Each Receipt this Period

50.00

SUBTOTAL of Receipts This Page (optional) .....

150.00

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 10 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. Vincent Basulto

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

210.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 0 / 3 1 / 2 0 0 6

Transaction ID: A2006-1537186

Amount of Each Receipt this Period

10.00

Full Name (Last, First, Middle Initial)

B. Vincent Basulto

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

220.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 1 / 0 3 / 2 0 0 6

Transaction ID: A2006-1555928

Amount of Each Receipt this Period

10.00

Full Name (Last, First, Middle Initial)

C. Vincent Basulto

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

230.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 1 / 1 7 / 2 0 0 6

Transaction ID: A2006-1668732

Amount of Each Receipt this Period

10.00

**SUBTOTAL** of Receipts This Page (optional) .....

30.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 11 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

**A.** Samuel E Belk

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

660.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 1 / 0 3 / 2 0 0 6

Transaction ID: A2006-1555909

Amount of Each Receipt this Period

60.00

Full Name (Last, First, Middle Initial)

**B.** Craig O Benson

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

360.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 1 / 2 7 / 2 0 0 6

Transaction ID: A2006-1666704

Amount of Each Receipt this Period

360.00

Full Name (Last, First, Middle Initial)

**C.** Thomas E Bernard

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1050.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 0 / 3 1 / 2 0 0 6

Transaction ID: A2006-1537173

Amount of Each Receipt this Period

50.00

**SUBTOTAL** of Receipts This Page (optional) .....

470.00

**TOTAL** This Period (last page this line number only) .....

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 12 / 146

(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

**A.** Thomas E Bernard

Mailing Address 745 SEVENTH AVENUE

City	State	Zip Code
NEW YORK	NY	10019

FEC ID number of contributing  
federal political committee.**C**Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1100.00

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
1	1	/	0	3	/	2	0	0	6

Transaction ID: A2006-1555914

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

**B.** Thomas E Bernard

Mailing Address 745 SEVENTH AVENUE

City	State	Zip Code
NEW YORK	NY	10019

FEC ID number of contributing  
federal political committee.**C**Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1150.00

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
1	1	/	1	7	/	2	0	0	6

Transaction ID: A2006-1668719

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

**C.** David P Bolduc

Mailing Address 745 SEVENTH AVENUE

City	State	Zip Code
NEW YORK	NY	10019

FEC ID number of contributing  
federal political committee.**C**Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

315.00

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
1	0	/	3	1	/	2	0	0	6

Transaction ID: A2006-1537169

Amount of Each Receipt this Period

15.00

SUBTOTAL of Receipts This Page (optional) .....

115.00

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 13 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

<b>A.</b> Full Name (Last, First, Middle Initial) David P Bolduc Mailing Address 745 SEVENTH AVENUE City NEW YORK State NY Zip Code 10019 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation EXECUTIVE Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 330.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 0 3 / 2 0 0 6 <b>Transaction ID:</b> A2006-1555910 Amount of Each Receipt this Period 15.00
<b>B.</b> Full Name (Last, First, Middle Initial) David P Bolduc Mailing Address 745 SEVENTH AVENUE City NEW YORK State NY Zip Code 10019 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation EXECUTIVE Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 345.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 1 7 / 2 0 0 6 <b>Transaction ID:</b> A2006-1668715 Amount of Each Receipt this Period 15.00
<b>C.</b> Full Name (Last, First, Middle Initial) David j Brand Mailing Address 745 SEVENTH AVENUE City NEW YORK State NY Zip Code 10019 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation SENIOR VICE PRESIDENT Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 210.00		Date of Receipt M M / D D / Y Y Y Y Y 1 0 / 3 1 / 2 0 0 6 <b>Transaction ID:</b> A2006-1537077 Amount of Each Receipt this Period 10.00

**SUBTOTAL** of Receipts This Page (optional) .....

40.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 14 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

**A.** Full Name (Last, First, Middle Initial)  
David j Brand  
Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
SENIOR VICE PRESIDENT

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

220.00

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 0 3 / 2 0 0 6

Transaction ID: A2006-1555812

Amount of Each Receipt this Period

10.00

**B.** Full Name (Last, First, Middle Initial)  
David j Brand  
Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
SENIOR VICE PRESIDENT

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

230.00

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 1 7 / 2 0 0 6

Transaction ID: A2006-1668623

Amount of Each Receipt this Period

10.00

**C.** Full Name (Last, First, Middle Initial)  
Bruce D Brier  
Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
FIRST VICE PRESIDENT

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

630.00

Date of Receipt

M M / D D / Y Y Y Y  
1 0 / 3 1 / 2 0 0 6

Transaction ID: A2006-1537107

Amount of Each Receipt this Period

30.00

**SUBTOTAL** of Receipts This Page (optional) .....

50.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 15 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

<b>A.</b> Full Name (Last, First, Middle Initial) Bruce D Brier		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 0 3 / 2 0 0 6
Mailing Address 745 SEVENTH AVENUE		<b>Transaction ID:</b> A2006-1555844
City NEW YORK	State NY	Zip Code 10019
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 30.00
Name of Employer LEHMAN BROTHERS HOLDINGS INC.	Occupation FIRST VICE PRESIDENT	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 660.00	

<b>B.</b> Full Name (Last, First, Middle Initial) Bruce D Brier		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 1 7 / 2 0 0 6
Mailing Address 745 SEVENTH AVENUE		<b>Transaction ID:</b> A2006-1668654
City NEW YORK	State NY	Zip Code 10019
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 30.00
Name of Employer LEHMAN BROTHERS HOLDINGS INC.	Occupation FIRST VICE PRESIDENT	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 690.00	

<b>C.</b> Full Name (Last, First, Middle Initial) Mr. Eric Burns		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 1 6 / 2 0 0 6
Mailing Address 435 Green Street Apt. 4		<b>Transaction ID:</b> A2006-1746760
City San Francisco	State CA	Zip Code 94133
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 750.00
Name of Employer LEHMAN BROTHERS HOLDINGS INC.	Occupation Executive	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 750.00	

**SUBTOTAL** of Receipts This Page (optional) .....

810.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 16 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

<b>A.</b> Full Name (Last, First, Middle Initial) Shaun k Butler		Date of Receipt M M / D D / Y Y Y Y Y 1 0 / 3 1 / 2 0 0 6
Mailing Address 745 SEVENTH AVENUE		<b>Transaction ID:</b> A2006-1537055
City NEW YORK	State NY	Zip Code 10019
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 40.00
Name of Employer LEHMAN BROTHERS HOLDINGS INC.	Occupation MANAGING DIRECTOR	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 840.00	

<b>B.</b> Full Name (Last, First, Middle Initial) Shaun k Butler		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 0 3 / 2 0 0 6
Mailing Address 745 SEVENTH AVENUE		<b>Transaction ID:</b> A2006-1555786
City NEW YORK	State NY	Zip Code 10019
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 40.00
Name of Employer LEHMAN BROTHERS HOLDINGS INC.	Occupation MANAGING DIRECTOR	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 880.00	

<b>C.</b> Full Name (Last, First, Middle Initial) Shaun k Butler		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 1 7 / 2 0 0 6
Mailing Address 745 SEVENTH AVENUE		<b>Transaction ID:</b> A2006-1668601
City NEW YORK	State NY	Zip Code 10019
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 40.00
Name of Employer LEHMAN BROTHERS HOLDINGS INC.	Occupation MANAGING DIRECTOR	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 920.00	

**SUBTOTAL** of Receipts This Page (optional) .....

120.00

**TOTAL** This Period (last page this line number only) .....



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 17 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

<b>A.</b> Full Name (Last, First, Middle Initial) Lance F Bylon		Date of Receipt M M / D D / Y Y Y Y Y 1 0 / 3 1 / 2 0 0 6
Mailing Address 745 SEVENTH AVENUE		<b>Transaction ID:</b> A2006-1537174
City NEW YORK	State NY	Zip Code 10019
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 20.00
Name of Employer LEHMAN BROTHERS HOLDINGS INC.	Occupation EXECUTIVE	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 420.00	

<b>B.</b> Full Name (Last, First, Middle Initial) Lance F Bylon		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 0 3 / 2 0 0 6
Mailing Address 745 SEVENTH AVENUE		<b>Transaction ID:</b> A2006-1555915
City NEW YORK	State NY	Zip Code 10019
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 20.00
Name of Employer LEHMAN BROTHERS HOLDINGS INC.	Occupation EXECUTIVE	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 440.00	

<b>C.</b> Full Name (Last, First, Middle Initial) Lance F Bylon		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 1 7 / 2 0 0 6
Mailing Address 745 SEVENTH AVENUE		<b>Transaction ID:</b> A2006-1668720
City NEW YORK	State NY	Zip Code 10019
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 20.00
Name of Employer LEHMAN BROTHERS HOLDINGS INC.	Occupation EXECUTIVE	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 460.00	

**SUBTOTAL** of Receipts This Page (optional) .....

60.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 18 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

**A.** Full Name (Last, First, Middle Initial)  
Robert s. Caldwell  
Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
SENIOR VICE PRESIDENT

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

315.00

Date of Receipt

M M / D D / Y Y Y Y Y  
1 0 / 3 1 / 2 0 0 6

Transaction ID: A2006-1537104

Amount of Each Receipt this Period

15.00

**B.** Full Name (Last, First, Middle Initial)  
Robert s. Caldwell  
Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
SENIOR VICE PRESIDENT

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

330.00

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 0 3 / 2 0 0 6

Transaction ID: A2006-1555841

Amount of Each Receipt this Period

15.00

**C.** Full Name (Last, First, Middle Initial)  
Robert s. Caldwell  
Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
SENIOR VICE PRESIDENT

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

345.00

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 1 7 / 2 0 0 6

Transaction ID: A2006-1668651

Amount of Each Receipt this Period

15.00

**SUBTOTAL** of Receipts This Page (optional) .....

45.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 19 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

<b>A.</b> Full Name (Last, First, Middle Initial) Reynolds P Carter Mailing Address 745 SEVENTH AVE 13TH FLOOR City State Zip Code NEW YORK NY 10019 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 300.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 1 6 / 2 0 0 6 <b>Transaction ID:</b> A2006-1746758 Amount of Each Receipt this Period 300.00
<b>B.</b> Full Name (Last, First, Middle Initial) Brian Case Mailing Address 120 E 75th St. Apt 8A City State Zip Code New York NY 10021 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation EXECUTIVE Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 315.00		Date of Receipt M M / D D / Y Y Y Y Y 1 0 / 3 1 / 2 0 0 6 <b>Transaction ID:</b> A2006-1537172 Amount of Each Receipt this Period 15.00
<b>C.</b> Full Name (Last, First, Middle Initial) Brian Case Mailing Address 120 E 75th St. Apt 8A City State Zip Code New York NY 10021 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation EXECUTIVE Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 330.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 0 3 / 2 0 0 6 <b>Transaction ID:</b> A2006-1555913 Amount of Each Receipt this Period 15.00

**SUBTOTAL** of Receipts This Page (optional) .....

330.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 20 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

<b>A.</b> Full Name (Last, First, Middle Initial) Brian Case Mailing Address 120 E 75th St. Apt 8A City State Zip Code New York NY 10021 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation EXECUTIVE Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 345.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 1 7 / 2 0 0 6 <b>Transaction ID:</b> A2006-1668718 Amount of Each Receipt this Period 15.00
<b>B.</b> Full Name (Last, First, Middle Initial) John f Coghlan Mailing Address 745 SEVENTH AVENUE City State Zip Code NEW YORK NY 10019 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation MANAGING DIRECTOR Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1050.00		Date of Receipt M M / D D / Y Y Y Y Y 1 0 / 3 1 / 2 0 0 6 <b>Transaction ID:</b> A2006-1537183 Amount of Each Receipt this Period 50.00
<b>C.</b> Full Name (Last, First, Middle Initial) John f Coghlan Mailing Address 745 SEVENTH AVENUE City State Zip Code NEW YORK NY 10019 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation MANAGING DIRECTOR Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1100.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 0 3 / 2 0 0 6 <b>Transaction ID:</b> A2006-1555925 Amount of Each Receipt this Period 50.00

**SUBTOTAL** of Receipts This Page (optional) .....

115.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 21 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

**A.** John f Coghlan

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
MANAGING DIRECTOR

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1150.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 1 / 1 7 / 2 0 0 6

Transaction ID: A2006-1668729

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

**B.** Christopher R Conetta

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

315.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 0 / 3 1 / 2 0 0 6

Transaction ID: A2006-1537143

Amount of Each Receipt this Period

15.00

Full Name (Last, First, Middle Initial)

**C.** Christopher R Conetta

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

330.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 1 / 0 3 / 2 0 0 6

Transaction ID: A2006-1555881

Amount of Each Receipt this Period

15.00

**SUBTOTAL** of Receipts This Page (optional) .....

80.00

**TOTAL** This Period (last page this line number only) .....

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 22 / 146

(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

<b>A.</b> Full Name (Last, First, Middle Initial) Christopher R Conetta Mailing Address 745 SEVENTH AVENUE City State Zip Code NEW YORK NY 10019 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation EXECUTIVE Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 345.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 1 7 / 2 0 0 6 <b>Transaction ID:</b> A2006-1668690 Amount of Each Receipt this Period 15.00
<b>B.</b> Full Name (Last, First, Middle Initial) Mark M Connally Mailing Address 745 SEVENTH AVENUE City State Zip Code NEW YORK NY 10019 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation EXECUTIVE Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 525.00		Date of Receipt M M / D D / Y Y Y Y Y 1 0 / 3 1 / 2 0 0 6 <b>Transaction ID:</b> A2006-1537080 Amount of Each Receipt this Period 25.00
<b>C.</b> Full Name (Last, First, Middle Initial) Mark M Connally Mailing Address 745 SEVENTH AVENUE City State Zip Code NEW YORK NY 10019 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation EXECUTIVE Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 550.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 0 3 / 2 0 0 6 <b>Transaction ID:</b> A2006-1555816 Amount of Each Receipt this Period 25.00

**SUBTOTAL** of Receipts This Page (optional) .....

65.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 23 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

<b>A.</b> Full Name (Last, First, Middle Initial) Mark M Connally Mailing Address 745 SEVENTH AVENUE City NEW YORK State NY Zip Code 10019 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation EXECUTIVE Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 575.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 1 7 / 2 0 0 6 <b>Transaction ID:</b> A2006-1668626 Amount of Each Receipt this Period 25.00
<b>B.</b> Full Name (Last, First, Middle Initial) Thomas p Corcoran Mailing Address 745 SEVENTH AVENUE City NEW YORK State NY Zip Code 10019 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation SENIOR VICE PRESIDENT Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 420.00		Date of Receipt M M / D D / Y Y Y Y Y 1 0 / 3 1 / 2 0 0 6 <b>Transaction ID:</b> A2006-1537129 Amount of Each Receipt this Period 20.00
<b>C.</b> Full Name (Last, First, Middle Initial) Thomas p Corcoran Mailing Address 745 SEVENTH AVENUE City NEW YORK State NY Zip Code 10019 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation SENIOR VICE PRESIDENT Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 440.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 0 3 / 2 0 0 6 <b>Transaction ID:</b> A2006-1555866 Amount of Each Receipt this Period 20.00

**SUBTOTAL** of Receipts This Page (optional) .....

65.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 24 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. Thomas p Corcoran

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
SENIOR VICE PRESIDENT

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

460.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 1 / 1 7 / 2 0 0 6

Transaction ID: A2006-1668676

Amount of Each Receipt this Period

20.00

Full Name (Last, First, Middle Initial)

B. Mr. Robert Corman

Mailing Address 111 West 67th Street #31D

City State Zip Code  
 New York NY 10023

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1200.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 1 / 0 3 / 2 0 0 6

Transaction ID: A2006-1580267

Amount of Each Receipt this Period

1200.00

Full Name (Last, First, Middle Initial)

C. Robert C Cox

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
SENIOR VICE PRESIDENT

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1050.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 0 / 3 1 / 2 0 0 6

Transaction ID: A2006-1537090

Amount of Each Receipt this Period

50.00

SUBTOTAL of Receipts This Page (optional) .....

1270.00

TOTAL This Period (last page this line number only) .....



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 25 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

<b>A.</b> Full Name (Last, First, Middle Initial) Robert C Cox Mailing Address 745 SEVENTH AVENUE City State Zip Code NEW YORK NY 10019 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation SENIOR VICE PRESIDENT Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1100.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 0 3 / 2 0 0 6 <b>Transaction ID:</b> A2006-1555827 Amount of Each Receipt this Period 50.00
<b>B.</b> Full Name (Last, First, Middle Initial) Robert C Cox Mailing Address 745 SEVENTH AVENUE City State Zip Code NEW YORK NY 10019 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation SENIOR VICE PRESIDENT Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1150.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 1 7 / 2 0 0 6 <b>Transaction ID:</b> A2006-1668637 Amount of Each Receipt this Period 50.00
<b>C.</b> Full Name (Last, First, Middle Initial) Allen b Cutler Mailing Address 745 SEVENTH AVENUE City State Zip Code NEW YORK NY 10019 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation SENIOR VICE PRESIDENT Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1050.00		Date of Receipt M M / D D / Y Y Y Y Y 1 0 / 3 1 / 2 0 0 6 <b>Transaction ID:</b> A2006-1537069 Amount of Each Receipt this Period 50.00

**SUBTOTAL** of Receipts This Page (optional) .....

150.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 26 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. Allen b Cutler

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
SENIOR VICE PRESIDENT

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1100.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 1 / 0 3 / 2 0 0 6

Transaction ID: A2006-1555801

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

B. Allen b Cutler

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
SENIOR VICE PRESIDENT

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1150.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 1 / 1 7 / 2 0 0 6

Transaction ID: A2006-1668615

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

C. Thomas De gaglia

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
SENIOR VICE PRESIDENT

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

420.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 0 / 3 1 / 2 0 0 6

Transaction ID: A2006-1537072

Amount of Each Receipt this Period

20.00

SUBTOTAL of Receipts This Page (optional) .....

120.00

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 27 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. Thomas De gaglia

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
SENIOR VICE PRESIDENT

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

440.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 1 / 0 3 / 2 0 0 6

Transaction ID: A2006-1555807

Amount of Each Receipt this Period

20.00

Full Name (Last, First, Middle Initial)

B. Thomas De gaglia

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
SENIOR VICE PRESIDENT

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

460.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 1 / 1 7 / 2 0 0 6

Transaction ID: A2006-1668618

Amount of Each Receipt this Period

20.00

Full Name (Last, First, Middle Initial)

C. Donald Degraff

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
SENIOR VICE PRESIDENT

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

210.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 0 / 3 1 / 2 0 0 6

Transaction ID: A2006-1537181

Amount of Each Receipt this Period

10.00

SUBTOTAL of Receipts This Page (optional) .....

50.00

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 28 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

<b>A.</b> Full Name (Last, First, Middle Initial) Donald Degraff		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 0 3 / 2 0 0 6
Mailing Address 745 SEVENTH AVENUE		<b>Transaction ID:</b> A2006-1555923
City NEW YORK	State NY	Zip Code 10019
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 10.00
Name of Employer LEHMAN BROTHERS HOLDINGS INC.	Occupation SENIOR VICE PRESIDENT	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 220.00	

<b>B.</b> Full Name (Last, First, Middle Initial) Donald Degraff		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 1 7 / 2 0 0 6
Mailing Address 745 SEVENTH AVENUE		<b>Transaction ID:</b> A2006-1668727
City NEW YORK	State NY	Zip Code 10019
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 10.00
Name of Employer LEHMAN BROTHERS HOLDINGS INC.	Occupation SENIOR VICE PRESIDENT	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 230.00	

<b>C.</b> Full Name (Last, First, Middle Initial) John C Donohue		Date of Receipt M M / D D / Y Y Y Y Y 1 0 / 3 1 / 2 0 0 6
Mailing Address 745 SEVENTH AVENUE		<b>Transaction ID:</b> A2006-1537141
City NEW YORK	State NY	Zip Code 10019
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 30.00
Name of Employer LEHMAN BROTHERS HOLDINGS INC.	Occupation EXECUTIVE	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 630.00	

**SUBTOTAL** of Receipts This Page (optional) .....

50.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 29 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

**A.** Full Name (Last, First, Middle Initial)  
John C Donohue  
Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

660.00

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 0 3 / 2 0 0 6

Transaction ID: A2006-1555878

Amount of Each Receipt this Period

30.00

**B.** Full Name (Last, First, Middle Initial)  
John C Donohue  
Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

690.00

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 1 7 / 2 0 0 6

Transaction ID: A2006-1668688

Amount of Each Receipt this Period

30.00

**C.** Full Name (Last, First, Middle Initial)  
Joshua Edelson  
Mailing Address 745 SEVENTH AVE 13TH FLOOR

City State Zip Code  
NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

550.00

Date of Receipt

M M / D D / Y Y Y Y  
1 0 / 3 1 / 2 0 0 6

Transaction ID: A2006-1537197

Amount of Each Receipt this Period

50.00

**SUBTOTAL** of Receipts This Page (optional) .....

110.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 30 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

**A.** Joshua Edelson

Mailing Address 745 SEVENTH AVE 13TH FLOOR

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

600.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 1 1 / 0 3 / 2 0 0 6

Transaction ID: A2006-1555940

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

**B.** Joshua Edelson

Mailing Address 745 SEVENTH AVE 13TH FLOOR

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

650.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 1 1 / 1 7 / 2 0 0 6

Transaction ID: A2006-1668744

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

**C.** Jay S Elkins

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

420.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 1 0 / 3 1 / 2 0 0 6

Transaction ID: A2006-1537159

Amount of Each Receipt this Period

20.00

**SUBTOTAL** of Receipts This Page (optional) .....

120.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 31 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

<b>A.</b> Full Name (Last, First, Middle Initial) Jay S Elkins Mailing Address 745 SEVENTH AVENUE City State Zip Code NEW YORK NY 10019 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation EXECUTIVE Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 440.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 0 3 / 2 0 0 6 <b>Transaction ID:</b> A2006-1555898 Amount of Each Receipt this Period 20.00
<b>B.</b> Full Name (Last, First, Middle Initial) Jay S Elkins Mailing Address 745 SEVENTH AVENUE City State Zip Code NEW YORK NY 10019 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation EXECUTIVE Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 460.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 1 7 / 2 0 0 6 <b>Transaction ID:</b> A2006-1668706 Amount of Each Receipt this Period 20.00
<b>C.</b> Full Name (Last, First, Middle Initial) James r Emmert Mailing Address 745 SEVENTH AVENUE City State Zip Code NEW YORK NY 10019 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation FIRST VICE PRESIDENT Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 210.00		Date of Receipt M M / D D / Y Y Y Y Y 1 0 / 3 1 / 2 0 0 6 <b>Transaction ID:</b> A2006-1537140 Amount of Each Receipt this Period 10.00

**SUBTOTAL** of Receipts This Page (optional) .....

50.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 32 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. James r Emmert

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
FIRST VICE PRESIDENT

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

220.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 1 1 / 0 3 / 2 0 0 6

Transaction ID: A2006-1555877

Amount of Each Receipt this Period

10.00

Full Name (Last, First, Middle Initial)

B. James r Emmert

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
FIRST VICE PRESIDENT

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

230.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 1 1 / 1 7 / 2 0 0 6

Transaction ID: A2006-1668687

Amount of Each Receipt this Period

10.00

Full Name (Last, First, Middle Initial)

C. Herbert Ernst

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
SENIOR VICE PRESIDENT

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1050.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 1 0 / 3 1 / 2 0 0 6

Transaction ID: A2006-1537149

Amount of Each Receipt this Period

50.00

SUBTOTAL of Receipts This Page (optional) .....

70.00

TOTAL This Period (last page this line number only) .....



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 33 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

<b>A.</b> Full Name (Last, First, Middle Initial) Herbert Ernst		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 0 3 / 2 0 0 6
Mailing Address 745 SEVENTH AVENUE		<b>Transaction ID:</b> A2006-1555888
City NEW YORK	State NY	Zip Code 10019
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 50.00
Name of Employer LEHMAN BROTHERS HOLDINGS INC.	Occupation SENIOR VICE PRESIDENT	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 1100.00	

<b>B.</b> Full Name (Last, First, Middle Initial) Herbert Ernst		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 1 7 / 2 0 0 6
Mailing Address 745 SEVENTH AVENUE		<b>Transaction ID:</b> A2006-1668696
City NEW YORK	State NY	Zip Code 10019
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 50.00
Name of Employer LEHMAN BROTHERS HOLDINGS INC.	Occupation SENIOR VICE PRESIDENT	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 1150.00	

<b>C.</b> Full Name (Last, First, Middle Initial) Norman L Escoffery		Date of Receipt M M / D D / Y Y Y Y Y 1 0 / 3 1 / 2 0 0 6
Mailing Address 745 SEVENTH AVENUE		<b>Transaction ID:</b> A2006-1537122
City NEW YORK	State NY	Zip Code 10019
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 20.00
Name of Employer LEHMAN BROTHERS HOLDINGS INC.	Occupation EXECUTIVE	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 420.00	

**SUBTOTAL** of Receipts This Page (optional) .....

120.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 34 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

**A.** Full Name (Last, First, Middle Initial)  
Norman L Escoffery  
Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

440.00

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 0 3 / 2 0 0 6

Transaction ID: A2006-1555859

Amount of Each Receipt this Period

20.00

**B.** Full Name (Last, First, Middle Initial)  
Norman L Escoffery  
Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

460.00

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 1 7 / 2 0 0 6

Transaction ID: A2006-1668669

Amount of Each Receipt this Period

20.00

**C.** Full Name (Last, First, Middle Initial)  
Peter R Evans  
Mailing Address 745 SEVENTH AVE 13TH FLOOR

City State Zip Code  
NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 1 6 / 2 0 0 6

Transaction ID: A2006-1746752

Amount of Each Receipt this Period

1000.00

**SUBTOTAL** of Receipts This Page (optional) .....

1040.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 35 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

<b>A.</b> Full Name (Last, First, Middle Initial) Nadja Fidelia-daguillard Mailing Address 745 SEVENTH AVENUE City NEW YORK State NY Zip Code 10019 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation VICE PRESIDENT Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 2100.00		Date of Receipt M M / D D / Y Y Y Y Y 1 0 / 3 1 / 2 0 0 6 <b>Transaction ID: A2006-1537158</b> Amount of Each Receipt this Period 100.00
<b>B.</b> Full Name (Last, First, Middle Initial) Nadja Fidelia-daguillard Mailing Address 745 SEVENTH AVENUE City NEW YORK State NY Zip Code 10019 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation VICE PRESIDENT Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 2200.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 0 3 / 2 0 0 6 <b>Transaction ID: A2006-1555897</b> Amount of Each Receipt this Period 100.00
<b>C.</b> Full Name (Last, First, Middle Initial) Nadja Fidelia-daguillard Mailing Address 745 SEVENTH AVENUE City NEW YORK State NY Zip Code 10019 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation VICE PRESIDENT Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 2300.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 1 7 / 2 0 0 6 <b>Transaction ID: A2006-1668705</b> Amount of Each Receipt this Period 100.00

**SUBTOTAL** of Receipts This Page (optional) .....

300.00

**TOTAL** This Period (last page this line number only) .....

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 36 / 146

(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

<b>A.</b> Full Name (Last, First, Middle Initial) Ronald H Filler Mailing Address 745 SEVENTH AVENUE City State Zip Code NEW YORK NY 10019 FEC ID number of contributing federal political committee. <b>C</b>		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 0 3 / 2 0 0 6 <b>Transaction ID:</b> A2006-1746761 Amount of Each Receipt this Period 500.00
Name of Employer LEHMAN BROTHERS HOLDINGS INC. Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Occupation SENIOR VICE PRESIDENT Aggregate Year-to-Date ▼ 500.00
<b>B.</b> Full Name (Last, First, Middle Initial) Victor A Forte Mailing Address 745 SEVENTH AVENUE City State Zip Code NEW YORK NY 10019 FEC ID number of contributing federal political committee. <b>C</b>		Date of Receipt M M / D D / Y Y Y Y Y 1 0 / 3 1 / 2 0 0 6 <b>Transaction ID:</b> A2006-1537119 Amount of Each Receipt this Period 25.00
Name of Employer LEHMAN BROTHERS HOLDINGS INC. Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Occupation VICE PRESIDENT Aggregate Year-to-Date ▼ 525.00
<b>C.</b> Full Name (Last, First, Middle Initial) Victor A Forte Mailing Address 745 SEVENTH AVENUE City State Zip Code NEW YORK NY 10019 FEC ID number of contributing federal political committee. <b>C</b>		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 0 3 / 2 0 0 6 <b>Transaction ID:</b> A2006-1555856 Amount of Each Receipt this Period 25.00
Name of Employer LEHMAN BROTHERS HOLDINGS INC. Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Occupation VICE PRESIDENT Aggregate Year-to-Date ▼ 550.00

**SUBTOTAL** of Receipts This Page (optional) .....

550.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 37 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

**A.** Victor A Forte

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
VICE PRESIDENT

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

575.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 1 / 1 7 / 2 0 0 6

Transaction ID: A2006-1668666

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**B.** Gerard j Fox

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
FIRST VICE PRESIDENT

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

315.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 0 / 3 1 / 2 0 0 6

Transaction ID: A2006-1537138

Amount of Each Receipt this Period

15.00

Full Name (Last, First, Middle Initial)

**C.** Gerard j Fox

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
FIRST VICE PRESIDENT

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

330.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 1 / 0 3 / 2 0 0 6

Transaction ID: A2006-1555875

Amount of Each Receipt this Period

15.00

**SUBTOTAL** of Receipts This Page (optional) .....

55.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 38 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

**A.** Full Name (Last, First, Middle Initial)  
Gerard j Fox  
Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
FIRST VICE PRESIDENT

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

345.00

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 1 7 / 2 0 0 6

Transaction ID: A2006-1668685

Amount of Each Receipt this Period

15.00

**B.** Full Name (Last, First, Middle Initial)  
Frederick Frank  
Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
MANAGING DIRECTOR

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1050.00

Date of Receipt

M M / D D / Y Y Y Y Y  
1 0 / 3 1 / 2 0 0 6

Transaction ID: A2006-1537075

Amount of Each Receipt this Period

50.00

**C.** Full Name (Last, First, Middle Initial)  
Frederick Frank  
Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
MANAGING DIRECTOR

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2100.00

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 0 3 / 2 0 0 6

Transaction ID: A2006-1555810

Amount of Each Receipt this Period

50.00

**SUBTOTAL** of Receipts This Page (optional) .....

115.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 39 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

**A.** Full Name (Last, First, Middle Initial)  
Frederick Frank  
Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
MANAGING DIRECTOR

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2100.00

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 0 3 / 2 0 0 6

Transaction ID: A2006-1746749

Amount of Each Receipt this Period

1000.00

**B.** Full Name (Last, First, Middle Initial)  
Frederick Frank  
Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
MANAGING DIRECTOR

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2150.00

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 1 7 / 2 0 0 6

Transaction ID: A2006-1668621

Amount of Each Receipt this Period

50.00

**C.** Full Name (Last, First, Middle Initial)  
BILL GALLAGHER  
Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

315.00

Date of Receipt

M M / D D / Y Y Y Y  
1 0 / 3 1 / 2 0 0 6

Transaction ID: A2006-1537097

Amount of Each Receipt this Period

15.00

**SUBTOTAL** of Receipts This Page (optional) .....

1065.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 40 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

**A.** Full Name (Last, First, Middle Initial)  
 BILL GALLAGHER  
 Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
 LEHMAN BROTHERS HOLDINGS  
 INC.

Occupation  
 EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

330.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 1 1 / 0 3 / 2 0 0 6

Transaction ID: A2006-1555834

Amount of Each Receipt this Period

15.00

**B.** Full Name (Last, First, Middle Initial)  
 BILL GALLAGHER  
 Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
 LEHMAN BROTHERS HOLDINGS  
 INC.

Occupation  
 EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

345.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 1 1 / 1 7 / 2 0 0 6

Transaction ID: A2006-1668644

Amount of Each Receipt this Period

15.00

**C.** Full Name (Last, First, Middle Initial)  
 Michael Gelband  
 Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
 LEHMAN BROTHERS HOLDINGS  
 INC.

Occupation  
 MANAGING DIRECTOR

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1050.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 1 0 / 3 1 / 2 0 0 6

Transaction ID: A2006-1537088

Amount of Each Receipt this Period

50.00

**SUBTOTAL** of Receipts This Page (optional) .....

80.00

**TOTAL** This Period (last page this line number only) .....



**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 41 / 146

(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

**A.** Full Name (Last, First, Middle Initial)  
Michael Gelband  
Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.Occupation  
MANAGING DIRECTOR

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1100.00

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
1	1		0	3		2	0	0	6

Transaction ID: A2006-1555825

Amount of Each Receipt this Period

50.00

**B.** Full Name (Last, First, Middle Initial)  
Michael Gelband  
Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.Occupation  
MANAGING DIRECTOR

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1150.00

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
1	1		1	7		2	0	0	6

Transaction ID: A2006-1668635

Amount of Each Receipt this Period

50.00

**C.** Full Name (Last, First, Middle Initial)  
David Goldfarb  
Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.Occupation  
SENIOR VICE PRESIDENT

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

630.00

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
1	0		3	1		2	0	0	6

Transaction ID: A2006-1537182

Amount of Each Receipt this Period

30.00

SUBTOTAL of Receipts This Page (optional) .....

130.00

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 42 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

<b>A.</b> Full Name (Last, First, Middle Initial) David Goldfarb		Date of Receipt M M / D D / Y Y Y Y 1 1 / 0 3 / 2 0 0 6
Mailing Address 745 SEVENTH AVENUE		
City NEW YORK	State NY	Zip Code 10019
FEC ID number of contributing federal political committee. C		<b>Transaction ID:</b> A2006-1555924
Name of Employer LEHMAN BROTHERS HOLDINGS INC.		Amount of Each Receipt this Period 30.00
Occupation SENIOR VICE PRESIDENT		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 660.00

<b>B.</b> Full Name (Last, First, Middle Initial) David Goldfarb		Date of Receipt M M / D D / Y Y Y Y 1 1 / 1 7 / 2 0 0 6
Mailing Address 745 SEVENTH AVENUE		
City NEW YORK	State NY	Zip Code 10019
FEC ID number of contributing federal political committee. C		<b>Transaction ID:</b> A2006-1668728
Name of Employer LEHMAN BROTHERS HOLDINGS INC.		Amount of Each Receipt this Period 30.00
Occupation SENIOR VICE PRESIDENT		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 690.00

<b>C.</b> Full Name (Last, First, Middle Initial) Lynn Z Gray		Date of Receipt M M / D D / Y Y Y Y 1 0 / 3 1 / 2 0 0 6
Mailing Address 745 SEVENTH AVENUE		
City NEW YORK	State NY	Zip Code 10019
FEC ID number of contributing federal political committee. C		<b>Transaction ID:</b> A2006-1537065
Name of Employer LEHMAN BROTHERS HOLDINGS INC.		Amount of Each Receipt this Period 30.00
Occupation EXECUTIVE		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 630.00

**SUBTOTAL** of Receipts This Page (optional) .....

90.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 43 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

**A.** Full Name (Last, First, Middle Initial)  
Lynn Z Gray  
Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

660.00

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 0 3 / 2 0 0 6

Transaction ID: A2006-1555797

Amount of Each Receipt this Period

30.00

**B.** Full Name (Last, First, Middle Initial)  
Lynn Z Gray  
Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

690.00

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 1 7 / 2 0 0 6

Transaction ID: A2006-1668611

Amount of Each Receipt this Period

30.00

**C.** Full Name (Last, First, Middle Initial)  
Robert F Gray  
Mailing Address 745 SEVENTH AVE 13TH FLOOR

City State Zip Code  
NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.00

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 1 6 / 2 0 0 6

Transaction ID: A2006-1746759

Amount of Each Receipt this Period

300.00

**SUBTOTAL** of Receipts This Page (optional) .....

360.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 44 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

**A.** Full Name (Last, First, Middle Initial)  
Ernest G Green  
Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
MANAGING DIRECTOR

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1050.00

Date of Receipt

M M / D D / Y Y Y Y Y  
1 0 / 3 1 / 2 0 0 6

Transaction ID: A2006-1537185

Amount of Each Receipt this Period

50.00

**B.** Full Name (Last, First, Middle Initial)  
Ernest G Green  
Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
MANAGING DIRECTOR

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1100.00

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 0 3 / 2 0 0 6

Transaction ID: A2006-1555927

Amount of Each Receipt this Period

50.00

**C.** Full Name (Last, First, Middle Initial)  
Ernest G Green  
Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
MANAGING DIRECTOR

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1150.00

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 1 7 / 2 0 0 6

Transaction ID: A2006-1668731

Amount of Each Receipt this Period

50.00

**SUBTOTAL** of Receipts This Page (optional) .....

150.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 45 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)

Edward S Grieb

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
FIRST VICE PRESIDENT

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

210.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 1 0 / 3 1 / 2 0 0 6

Transaction ID: A2006-1537190

Amount of Each Receipt this Period

10.00

B. Full Name (Last, First, Middle Initial)

Edward S Grieb

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
FIRST VICE PRESIDENT

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

220.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 1 1 / 0 3 / 2 0 0 6

Transaction ID: A2006-1555932

Amount of Each Receipt this Period

10.00

C. Full Name (Last, First, Middle Initial)

Edward S Grieb

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
FIRST VICE PRESIDENT

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

230.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 1 1 / 1 7 / 2 0 0 6

Transaction ID: A2006-1668736

Amount of Each Receipt this Period

10.00

SUBTOTAL of Receipts This Page (optional) .....

30.00

TOTAL This Period (last page this line number only) .....

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 46 / 146

(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

<b>A.</b> Full Name (Last, First, Middle Initial) Bernard J Griffin Mailing Address 745 SEVENTH AVENUE City State Zip Code NEW YORK NY 10019 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation FIRST VICE PRESIDENT Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 315.00		Date of Receipt M M / D D / Y Y Y Y Y 1 0 / 3 1 / 2 0 0 6 <b>Transaction ID:</b> A2006-1537154 Amount of Each Receipt this Period 15.00
<b>B.</b> Full Name (Last, First, Middle Initial) Bernard J Griffin Mailing Address 745 SEVENTH AVENUE City State Zip Code NEW YORK NY 10019 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation FIRST VICE PRESIDENT Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 330.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 0 3 / 2 0 0 6 <b>Transaction ID:</b> A2006-1555893 Amount of Each Receipt this Period 15.00
<b>C.</b> Full Name (Last, First, Middle Initial) Bernard J Griffin Mailing Address 745 SEVENTH AVENUE City State Zip Code NEW YORK NY 10019 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation FIRST VICE PRESIDENT Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 345.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 1 7 / 2 0 0 6 <b>Transaction ID:</b> A2006-1668701 Amount of Each Receipt this Period 15.00

**SUBTOTAL** of Receipts This Page (optional) .....

45.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 47 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

**A.** Full Name (Last, First, Middle Initial)  
Matthew F Grinnell  
Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

660.00

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 0 3 / 2 0 0 6

Transaction ID: A2006-1555787

Amount of Each Receipt this Period

60.00

**B.** Full Name (Last, First, Middle Initial)  
Michael P Guarnieri  
Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
VICE PRESIDENT

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

315.00

Date of Receipt

M M / D D / Y Y Y Y Y  
1 0 / 3 1 / 2 0 0 6

Transaction ID: A2006-1537066

Amount of Each Receipt this Period

15.00

**C.** Full Name (Last, First, Middle Initial)  
Michael P Guarnieri  
Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
VICE PRESIDENT

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

330.00

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 0 3 / 2 0 0 6

Transaction ID: A2006-1555798

Amount of Each Receipt this Period

15.00

**SUBTOTAL** of Receipts This Page (optional) .....

90.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 48 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

**A.** Full Name (Last, First, Middle Initial)  
Michael P Guarnieri  
Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
VICE PRESIDENT

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

345.00

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 1 7 / 2 0 0 6

Transaction ID: A2006-1668612

Amount of Each Receipt this Period

15.00

**B.** Full Name (Last, First, Middle Initial)  
Todd C Guenther  
Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1260.00

Date of Receipt

M M / D D / Y Y Y Y Y  
1 0 / 3 1 / 2 0 0 6

Transaction ID: A2006-1537177

Amount of Each Receipt this Period

60.00

**C.** Full Name (Last, First, Middle Initial)  
Todd C Guenther  
Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1320.00

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 0 3 / 2 0 0 6

Transaction ID: A2006-1555919

Amount of Each Receipt this Period

60.00

**SUBTOTAL** of Receipts This Page (optional) .....

135.00

**TOTAL** This Period (last page this line number only) .....



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 49 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. Todd C Guenther

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1380.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 1 1 / 1 7 / 2 0 0 6

Transaction ID: A2006-1668723

Amount of Each Receipt this Period

60.00

Full Name (Last, First, Middle Initial)

B. Robert Guglielmo

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
SENIOR VICE PRESIDENT

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

420.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 1 0 / 3 1 / 2 0 0 6

Transaction ID: A2006-1537179

Amount of Each Receipt this Period

20.00

Full Name (Last, First, Middle Initial)

C. Robert Guglielmo

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
SENIOR VICE PRESIDENT

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

440.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 1 1 / 0 3 / 2 0 0 6

Transaction ID: A2006-1555921

Amount of Each Receipt this Period

20.00

SUBTOTAL of Receipts This Page (optional) .....

100.00

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 50 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

**A.** Full Name (Last, First, Middle Initial)  
Robert Guglielmo  
Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
SENIOR VICE PRESIDENT

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

460.00

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 1 7 / 2 0 0 6

Transaction ID: A2006-1668725

Amount of Each Receipt this Period

20.00

**B.** Full Name (Last, First, Middle Initial)  
Richard f Hamill  
Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
FIRST VICE PRESIDENT

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.00

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 0 3 / 2 0 0 6

Transaction ID: A2006-1746750

Amount of Each Receipt this Period

500.00

**C.** Full Name (Last, First, Middle Initial)  
Giles E Harrison  
Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

420.00

Date of Receipt

M M / D D / Y Y Y Y  
1 0 / 3 1 / 2 0 0 6

Transaction ID: A2006-1537058

Amount of Each Receipt this Period

20.00

**SUBTOTAL** of Receipts This Page (optional) .....

540.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 51 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

<b>A.</b> Full Name (Last, First, Middle Initial) Giles E Harrison Mailing Address 745 SEVENTH AVENUE City State Zip Code NEW YORK NY 10019 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation EXECUTIVE Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 440.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 0 3 / 2 0 0 6 <b>Transaction ID:</b> A2006-1555790 Amount of Each Receipt this Period 20.00
<b>B.</b> Full Name (Last, First, Middle Initial) Giles E Harrison Mailing Address 745 SEVENTH AVENUE City State Zip Code NEW YORK NY 10019 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation EXECUTIVE Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 490.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 1 7 / 2 0 0 6 <b>Transaction ID:</b> A2006-1668604 Amount of Each Receipt this Period 50.00
<b>C.</b> Full Name (Last, First, Middle Initial) Mike A Hartmeier Mailing Address 745 SEVENTH AVENUE City State Zip Code NEW YORK NY 10019 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation EXECUTIVE Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 2100.00		Date of Receipt M M / D D / Y Y Y Y Y 1 0 / 3 1 / 2 0 0 6 <b>Transaction ID:</b> A2006-1537071 Amount of Each Receipt this Period 100.00

**SUBTOTAL** of Receipts This Page (optional) .....

170.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 52 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

<b>A.</b> Full Name (Last, First, Middle Initial) Mike A Hartmeier		Date of Receipt M M / D D / Y Y Y Y 1 1 / 0 3 / 2 0 0 6
Mailing Address 745 SEVENTH AVENUE		
City NEW YORK	State NY	Zip Code 10019
FEC ID number of contributing federal political committee. C		<b>Transaction ID:</b> A2006-1555804
Name of Employer LEHMAN BROTHERS HOLDINGS INC.		Amount of Each Receipt this Period 100.00
Occupation EXECUTIVE		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 2200.00

<b>B.</b> Full Name (Last, First, Middle Initial) Mike A Hartmeier		Date of Receipt M M / D D / Y Y Y Y 1 1 / 1 7 / 2 0 0 6
Mailing Address 745 SEVENTH AVENUE		
City NEW YORK	State NY	Zip Code 10019
FEC ID number of contributing federal political committee. C		<b>Transaction ID:</b> A2006-1668617
Name of Employer LEHMAN BROTHERS HOLDINGS INC.		Amount of Each Receipt this Period 100.00
Occupation EXECUTIVE		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 2300.00

<b>C.</b> Full Name (Last, First, Middle Initial) Steven r Hash		Date of Receipt M M / D D / Y Y Y Y 1 0 / 3 1 / 2 0 0 6
Mailing Address 745 SEVENTH AVENUE		
City NEW YORK	State NY	Zip Code 10019
FEC ID number of contributing federal political committee. C		<b>Transaction ID:</b> A2006-1537093
Name of Employer LEHMAN BROTHERS HOLDINGS INC.		Amount of Each Receipt this Period 50.00
Occupation VICE PRESIDENT		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 1050.00

**SUBTOTAL** of Receipts This Page (optional) .....

250.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 53 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

<b>A.</b> Full Name (Last, First, Middle Initial) Steven r Hash Mailing Address 745 SEVENTH AVENUE City State Zip Code NEW YORK NY 10019 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation VICE PRESIDENT Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1100.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 0 3 / 2 0 0 6 <b>Transaction ID:</b> A2006-1555830 Amount of Each Receipt this Period 50.00
<b>B.</b> Full Name (Last, First, Middle Initial) Steven r Hash Mailing Address 745 SEVENTH AVENUE City State Zip Code NEW YORK NY 10019 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation VICE PRESIDENT Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1150.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 1 7 / 2 0 0 6 <b>Transaction ID:</b> A2006-1668640 Amount of Each Receipt this Period 50.00
<b>C.</b> Full Name (Last, First, Middle Initial) Thomas a Hawkins Mailing Address 745 SEVENTH AVENUE City State Zip Code NEW YORK NY 10019 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation VICE PRESIDENT Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 525.00		Date of Receipt M M / D D / Y Y Y Y Y 1 0 / 3 1 / 2 0 0 6 <b>Transaction ID:</b> A2006-1537151 Amount of Each Receipt this Period 25.00

**SUBTOTAL** of Receipts This Page (optional) .....

125.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 54 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. Thomas a Hawkins

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
VICE PRESIDENT

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

550.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 1 / 0 3 / 2 0 0 6

Transaction ID: A2006-1555890

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

B. Thomas a Hawkins

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
VICE PRESIDENT

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

575.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 1 / 1 7 / 2 0 0 6

Transaction ID: A2006-1668698

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

C. Peter J Hernick

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

630.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 0 / 3 1 / 2 0 0 6

Transaction ID: A2006-1537153

Amount of Each Receipt this Period

30.00

SUBTOTAL of Receipts This Page (optional) .....

80.00

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 55 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

<b>A.</b> Full Name (Last, First, Middle Initial) Peter J Hernick Mailing Address 745 SEVENTH AVENUE City State Zip Code NEW YORK NY 10019 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation EXECUTIVE Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 660.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 0 3 / 2 0 0 6 <b>Transaction ID:</b> A2006-1555892 Amount of Each Receipt this Period 30.00
<b>B.</b> Full Name (Last, First, Middle Initial) Peter J Hernick Mailing Address 745 SEVENTH AVENUE City State Zip Code NEW YORK NY 10019 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation EXECUTIVE Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 710.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 1 7 / 2 0 0 6 <b>Transaction ID:</b> A2006-1668700 Amount of Each Receipt this Period 50.00
<b>C.</b> Full Name (Last, First, Middle Initial) Perry C Hoffmeist Mailing Address 745 SEVENTH AVENUE City State Zip Code NEW YORK NY 10019 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation VICE PRESIDENT Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1191.63		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 0 3 / 2 0 0 6 <b>Transaction ID:</b> A2006-1555802 Amount of Each Receipt this Period 108.33

**SUBTOTAL** of Receipts This Page (optional) .....

188.33

**TOTAL** This Period (last page this line number only) .....

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 56 / 146

(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. Stephen e Howard

Mailing Address 745 SEVENTH AVENUE

City	State	Zip Code
NEW YORK	NY	10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.Occupation  
SENIOR VICE PRESIDENT

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

210.00

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
1	0		3	1		2	0	0	6

Transaction ID: A2006-1537162

Amount of Each Receipt this Period

10.00

Full Name (Last, First, Middle Initial)

B. Stephen e Howard

Mailing Address 745 SEVENTH AVENUE

City	State	Zip Code
NEW YORK	NY	10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.Occupation  
SENIOR VICE PRESIDENT

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

220.00

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
1	1		0	3		2	0	0	6

Transaction ID: A2006-1555902

Amount of Each Receipt this Period

10.00

Full Name (Last, First, Middle Initial)

C. Robert J Hoy

Mailing Address 745 SEVENTH AVENUE

City	State	Zip Code
NEW YORK	NY	10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

660.00

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
1	1		0	3		2	0	0	6

Transaction ID: A2006-1555901

Amount of Each Receipt this Period

60.00

SUBTOTAL of Receipts This Page (optional) .....

80.00

TOTAL This Period (last page this line number only) .....



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 57 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

**A.** Full Name (Last, First, Middle Initial)  
Robert A Hynote  
Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1050.00

Date of Receipt

M M / D D / Y Y Y Y  
1 0 / 3 1 / 2 0 0 6

Transaction ID: A2006-1537089

Amount of Each Receipt this Period

50.00

**B.** Full Name (Last, First, Middle Initial)  
Robert A Hynote  
Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1100.00

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 0 3 / 2 0 0 6

Transaction ID: A2006-1555826

Amount of Each Receipt this Period

50.00

**C.** Full Name (Last, First, Middle Initial)  
Robert A Hynote  
Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1150.00

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 1 7 / 2 0 0 6

Transaction ID: A2006-1668636

Amount of Each Receipt this Period

50.00

**SUBTOTAL** of Receipts This Page (optional) .....

150.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 58 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. James I. Iorio

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

210.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 1 0 / 3 1 / 2 0 0 6

Transaction ID: A2006-1537085

Amount of Each Receipt this Period

10.00

Full Name (Last, First, Middle Initial)

B. James I. Iorio

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

220.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 1 1 / 0 3 / 2 0 0 6

Transaction ID: A2006-1555822

Amount of Each Receipt this Period

10.00

Full Name (Last, First, Middle Initial)

C. James I. Iorio

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

270.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 1 1 / 1 7 / 2 0 0 6

Transaction ID: A2006-1668631

Amount of Each Receipt this Period

50.00

SUBTOTAL of Receipts This Page (optional) .....

70.00

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 59 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)

Mr. Ben James

Mailing Address 745 SEVENTH AVE 13TH FLOOR

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

550.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 1 0 / 3 1 / 2 0 0 6

Transaction ID: A2006-1537195

Amount of Each Receipt this Period

50.00

B. Full Name (Last, First, Middle Initial)

Mr. Ben James

Mailing Address 745 SEVENTH AVE 13TH FLOOR

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

600.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 1 1 / 0 3 / 2 0 0 6

Transaction ID: A2006-1555938

Amount of Each Receipt this Period

50.00

C. Full Name (Last, First, Middle Initial)

Mr. Ben James

Mailing Address 745 SEVENTH AVE 13TH FLOOR

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

650.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 1 1 / 1 7 / 2 0 0 6

Transaction ID: A2006-1668742

Amount of Each Receipt this Period

50.00

SUBTOTAL of Receipts This Page (optional) .....

150.00

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 60 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. Theodore Janulis

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
MANAGING DIRECTOR

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

840.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 1 0 / 3 1 / 2 0 0 6

Transaction ID: A2006-1537087

Amount of Each Receipt this Period

40.00

Full Name (Last, First, Middle Initial)

B. Theodore Janulis

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
MANAGING DIRECTOR

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

880.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 1 1 / 0 3 / 2 0 0 6

Transaction ID: A2006-1555824

Amount of Each Receipt this Period

40.00

Full Name (Last, First, Middle Initial)

C. Theodore Janulis

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
MANAGING DIRECTOR

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

920.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 1 1 / 1 7 / 2 0 0 6

Transaction ID: A2006-1668634

Amount of Each Receipt this Period

40.00

SUBTOTAL of Receipts This Page (optional) .....

120.00

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 61 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

<b>A.</b> Full Name (Last, First, Middle Initial) Craig L Jones		Date of Receipt M M / D D / Y Y Y Y 1 0 / 3 1 / 2 0 0 6
Mailing Address 745 SEVENTH AVENUE		
City NEW YORK	State NY	Zip Code 10019
FEC ID number of contributing federal political committee. C		<b>Transaction ID:</b> A2006-1537108
Name of Employer LEHMAN BROTHERS HOLDINGS INC.		Amount of Each Receipt this Period 15.00
Occupation EXECUTIVE		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 315.00	

<b>B.</b> Full Name (Last, First, Middle Initial) Craig L Jones		Date of Receipt M M / D D / Y Y Y Y 1 1 / 0 3 / 2 0 0 6
Mailing Address 745 SEVENTH AVENUE		
City NEW YORK	State NY	Zip Code 10019
FEC ID number of contributing federal political committee. C		<b>Transaction ID:</b> A2006-1555845
Name of Employer LEHMAN BROTHERS HOLDINGS INC.		Amount of Each Receipt this Period 15.00
Occupation EXECUTIVE		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 330.00	

<b>C.</b> Full Name (Last, First, Middle Initial) Craig L Jones		Date of Receipt M M / D D / Y Y Y Y 1 1 / 1 7 / 2 0 0 6
Mailing Address 745 SEVENTH AVENUE		
City NEW YORK	State NY	Zip Code 10019
FEC ID number of contributing federal political committee. C		<b>Transaction ID:</b> A2006-1668655
Name of Employer LEHMAN BROTHERS HOLDINGS INC.		Amount of Each Receipt this Period 15.00
Occupation EXECUTIVE		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 345.00	

**SUBTOTAL** of Receipts This Page (optional) .....

45.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 62 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

**A.** Tarun Jotwani

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

330.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 1 / 0 3 / 2 0 0 6

Transaction ID: A2006-1555813

Amount of Each Receipt this Period

30.00

Full Name (Last, First, Middle Initial)

**B.** Gerald P Kaminsky

Mailing Address 745 SEVENTH AVE 13TH FLOOR

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1200.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 1 / 1 6 / 2 0 0 6

Transaction ID: A2006-1746756

Amount of Each Receipt this Period

1200.00

Full Name (Last, First, Middle Initial)

**C.** Jeanne m Kane

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
MANAGING DIRECTOR

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

840.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 0 / 3 1 / 2 0 0 6

Transaction ID: A2006-1537098

Amount of Each Receipt this Period

40.00

**SUBTOTAL** of Receipts This Page (optional) .....

1270.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 63 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. Jeanne m Kane

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
MANAGING DIRECTOR

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

880.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 1 1 / 0 3 / 2 0 0 6

Transaction ID: A2006-1555835

Amount of Each Receipt this Period

40.00

Full Name (Last, First, Middle Initial)

B. Jeanne m Kane

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
MANAGING DIRECTOR

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

920.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 1 1 / 1 7 / 2 0 0 6

Transaction ID: A2006-1668645

Amount of Each Receipt this Period

40.00

Full Name (Last, First, Middle Initial)

C. Joseph j. Kelly

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

210.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 1 0 / 3 1 / 2 0 0 6

Transaction ID: A2006-1537064

Amount of Each Receipt this Period

10.00

SUBTOTAL of Receipts This Page (optional) .....

90.00

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 64 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. Joseph j. Kelly

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

220.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 1 1 / 0 3 / 2 0 0 6

Transaction ID: A2006-1555796

Amount of Each Receipt this Period

10.00

Full Name (Last, First, Middle Initial)

B. Joseph j. Kelly

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

230.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 1 1 / 1 7 / 2 0 0 6

Transaction ID: A2006-1668610

Amount of Each Receipt this Period

10.00

Full Name (Last, First, Middle Initial)

C. Gary m Killian

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
MANAGING DIRECTOR

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

420.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 1 0 / 3 1 / 2 0 0 6

Transaction ID: A2006-1537086

Amount of Each Receipt this Period

20.00

SUBTOTAL of Receipts This Page (optional) .....

40.00

TOTAL This Period (last page this line number only) .....



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 65 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

<b>A.</b> Full Name (Last, First, Middle Initial) Gary m Killian		Date of Receipt <table border="1"> <tr> <td>M</td><td>M</td><td>/</td><td>D</td><td>D</td><td>/</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td> </tr> <tr> <td>1</td><td>1</td><td></td><td>0</td><td>3</td><td></td><td>2</td><td>0</td><td>0</td><td>6</td> </tr> </table>	M	M	/	D	D	/	Y	Y	Y	Y	1	1		0	3		2	0	0	6
M	M		/	D	D	/	Y	Y	Y	Y												
1	1		0	3		2	0	0	6													
Mailing Address 745 SEVENTH AVENUE																						
City NEW YORK	State NY	Zip Code 10019																				
FEC ID number of contributing federal political committee. C		<b>Transaction ID:</b> A2006-1555823																				
Name of Employer LEHMAN BROTHERS HOLDINGS INC.		Amount of Each Receipt this Period <table border="1"> <tr> <td colspan="10">20.00</td> </tr> </table>	20.00																			
20.00																						
Occupation MANAGING DIRECTOR	Aggregate Year-to-Date ▼ <table border="1"> <tr> <td colspan="10">440.00</td> </tr> </table>	440.00																				
440.00																						
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼																						

<b>B.</b> Full Name (Last, First, Middle Initial) Gary m Killian		Date of Receipt <table border="1"> <tr> <td>M</td><td>M</td><td>/</td><td>D</td><td>D</td><td>/</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td> </tr> <tr> <td>1</td><td>1</td><td></td><td>1</td><td>7</td><td></td><td>2</td><td>0</td><td>0</td><td>6</td> </tr> </table>	M	M	/	D	D	/	Y	Y	Y	Y	1	1		1	7		2	0	0	6
M	M		/	D	D	/	Y	Y	Y	Y												
1	1		1	7		2	0	0	6													
Mailing Address 745 SEVENTH AVENUE																						
City NEW YORK	State NY	Zip Code 10019																				
FEC ID number of contributing federal political committee. C		<b>Transaction ID:</b> A2006-1668632																				
Name of Employer LEHMAN BROTHERS HOLDINGS INC.		Amount of Each Receipt this Period <table border="1"> <tr> <td colspan="10">20.00</td> </tr> </table>	20.00																			
20.00																						
Occupation MANAGING DIRECTOR	Aggregate Year-to-Date ▼ <table border="1"> <tr> <td colspan="10">460.00</td> </tr> </table>	460.00																				
460.00																						
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼																						

<b>C.</b> Full Name (Last, First, Middle Initial) John A Kirk		Date of Receipt <table border="1"> <tr> <td>M</td><td>M</td><td>/</td><td>D</td><td>D</td><td>/</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td> </tr> <tr> <td>1</td><td>0</td><td></td><td>3</td><td>1</td><td></td><td>2</td><td>0</td><td>0</td><td>6</td> </tr> </table>	M	M	/	D	D	/	Y	Y	Y	Y	1	0		3	1		2	0	0	6
M	M		/	D	D	/	Y	Y	Y	Y												
1	0		3	1		2	0	0	6													
Mailing Address 745 SEVENTH AVENUE																						
City NEW YORK	State NY	Zip Code 10019																				
FEC ID number of contributing federal political committee. C		<b>Transaction ID:</b> A2006-1537194																				
Name of Employer LEHMAN BROTHERS HOLDINGS INC.		Amount of Each Receipt this Period <table border="1"> <tr> <td colspan="10">50.00</td> </tr> </table>	50.00																			
50.00																						
Occupation MANAGING DIRECTOR	Aggregate Year-to-Date ▼ <table border="1"> <tr> <td colspan="10">1050.00</td> </tr> </table>	1050.00																				
1050.00																						
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼																						

**SUBTOTAL** of Receipts This Page (optional) .....

90.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 66 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. John A Kirk

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
MANAGING DIRECTOR

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2300.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 1 1 / 0 3 / 2 0 0 6

Transaction ID: A2006-1555937

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

B. John A Kirk

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
MANAGING DIRECTOR

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2300.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 1 1 / 0 3 / 2 0 0 6

Transaction ID: A2006-1580264

Amount of Each Receipt this Period

1200.00

Full Name (Last, First, Middle Initial)

C. John A Kirk

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
MANAGING DIRECTOR

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2350.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 1 1 / 1 7 / 2 0 0 6

Transaction ID: A2006-1668740

Amount of Each Receipt this Period

50.00

SUBTOTAL of Receipts This Page (optional) .....

1300.00

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 67 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. Jonathan M Kitei

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

630.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 0 / 3 1 / 2 0 0 6

Transaction ID: A2006-1537130

Amount of Each Receipt this Period

30.00

Full Name (Last, First, Middle Initial)

B. Jonathan M Kitei

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

660.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 1 / 0 3 / 2 0 0 6

Transaction ID: A2006-1555867

Amount of Each Receipt this Period

30.00

Full Name (Last, First, Middle Initial)

C. Jonathan M Kitei

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

685.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 1 / 1 7 / 2 0 0 6

Transaction ID: A2006-1668677

Amount of Each Receipt this Period

25.00

SUBTOTAL of Receipts This Page (optional) .....

85.00

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 68 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

<b>A.</b> Full Name (Last, First, Middle Initial) Richard W Knee Mailing Address 745 SEVENTH AVENUE City State Zip Code NEW YORK NY 10019 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation EXECUTIVE Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1050.00		Date of Receipt M M / D D / Y Y Y Y Y 1 0 / 3 1 / 2 0 0 6 <b>Transaction ID:</b> A2006-1537092 Amount of Each Receipt this Period 50.00
<b>B.</b> Full Name (Last, First, Middle Initial) Richard W Knee Mailing Address 745 SEVENTH AVENUE City State Zip Code NEW YORK NY 10019 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation EXECUTIVE Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1100.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 0 3 / 2 0 0 6 <b>Transaction ID:</b> A2006-1555829 Amount of Each Receipt this Period 50.00
<b>C.</b> Full Name (Last, First, Middle Initial) Richard W Knee Mailing Address 745 SEVENTH AVENUE City State Zip Code NEW YORK NY 10019 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation EXECUTIVE Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1150.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 1 7 / 2 0 0 6 <b>Transaction ID:</b> A2006-1668639 Amount of Each Receipt this Period 50.00

**SUBTOTAL** of Receipts This Page (optional) .....

150.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 69 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. Jeffrey B Lane

Mailing Address 791 Park Avenue

City State Zip Code  
 New York NY 10021

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1200.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 1 / 1 6 / 2 0 0 6

Transaction ID: A2006-1746751

Amount of Each Receipt this Period

1200.00

Full Name (Last, First, Middle Initial)

B. Stephen Lessing

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
MANAGING DIRECTOR

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1050.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 0 / 3 1 / 2 0 0 6

Transaction ID: A2006-1537187

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

C. Stephen Lessing

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
MANAGING DIRECTOR

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1100.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 1 / 0 3 / 2 0 0 6

Transaction ID: A2006-1555929

Amount of Each Receipt this Period

50.00

SUBTOTAL of Receipts This Page (optional) .....

1300.00

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 70 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)

Stephen Lessing

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
MANAGING DIRECTOR

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1150.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 1 / 1 7 / 2 0 0 6

Transaction ID: A2006-1668733

Amount of Each Receipt this Period

50.00

B. Full Name (Last, First, Middle Initial)

Robert J Levitt

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 1 / 1 6 / 2 0 0 6

Transaction ID: A2006-1746753

Amount of Each Receipt this Period

500.00

C. Full Name (Last, First, Middle Initial)

Robert c Lieber

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
SENIOR VICE PRESIDENT

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

420.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 0 / 3 1 / 2 0 0 6

Transaction ID: A2006-1537170

Amount of Each Receipt this Period

20.00

SUBTOTAL of Receipts This Page (optional) .....

570.00

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 71 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. Robert c Lieber

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
SENIOR VICE PRESIDENT

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

440.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 1 / 0 3 / 2 0 0 6

Transaction ID: A2006-1555911

Amount of Each Receipt this Period

20.00

Full Name (Last, First, Middle Initial)

B. Robert c Lieber

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
SENIOR VICE PRESIDENT

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

460.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 1 / 1 7 / 2 0 0 6

Transaction ID: A2006-1668716

Amount of Each Receipt this Period

20.00

Full Name (Last, First, Middle Initial)

C. Robert Lieberberg

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
MANAGING DIRECTOR

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1050.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 0 / 3 1 / 2 0 0 6

Transaction ID: A2006-1537118

Amount of Each Receipt this Period

50.00

SUBTOTAL of Receipts This Page (optional) .....

90.00

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 72 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

**A.** Full Name (Last, First, Middle Initial)  
Robert Lieberberg  
Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
MANAGING DIRECTOR

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1100.00

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 0 3 / 2 0 0 6

Transaction ID: A2006-1555855

Amount of Each Receipt this Period

50.00

**B.** Full Name (Last, First, Middle Initial)  
Robert Lieberberg  
Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
MANAGING DIRECTOR

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1150.00

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 1 7 / 2 0 0 6

Transaction ID: A2006-1668665

Amount of Each Receipt this Period

50.00

**C.** Full Name (Last, First, Middle Initial)  
William e Lighten  
Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
SENIOR VICE PRESIDENT

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

420.00

Date of Receipt

M M / D D / Y Y Y Y Y  
1 0 / 3 1 / 2 0 0 6

Transaction ID: A2006-1537081

Amount of Each Receipt this Period

20.00

**SUBTOTAL** of Receipts This Page (optional) .....

120.00

**TOTAL** This Period (last page this line number only) .....



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 73 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. William e Lighten

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
SENIOR VICE PRESIDENT

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

440.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 1 / 0 3 / 2 0 0 6

Transaction ID: A2006-1555817

Amount of Each Receipt this Period

20.00

Full Name (Last, First, Middle Initial)

B. William e Lighten

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
SENIOR VICE PRESIDENT

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

460.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 1 / 1 7 / 2 0 0 6

Transaction ID: A2006-1668627

Amount of Each Receipt this Period

20.00

Full Name (Last, First, Middle Initial)

C. James Lister

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
VICE PRESIDENT

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1260.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 0 / 3 1 / 2 0 0 6

Transaction ID: A2006-1537056

Amount of Each Receipt this Period

60.00

SUBTOTAL of Receipts This Page (optional) .....

100.00

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 74 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. James Lister

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
VICE PRESIDENT

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1320.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 1 / 0 3 / 2 0 0 6

Transaction ID: A2006-1555788

Amount of Each Receipt this Period

60.00

Full Name (Last, First, Middle Initial)

B. James Lister

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
VICE PRESIDENT

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1380.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 1 / 1 7 / 2 0 0 6

Transaction ID: A2006-1668602

Amount of Each Receipt this Period

60.00

Full Name (Last, First, Middle Initial)

C. Joseph a Loftus

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
SENIOR VICE PRESIDENT

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

630.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 0 / 3 1 / 2 0 0 6

Transaction ID: A2006-1537106

Amount of Each Receipt this Period

30.00

SUBTOTAL of Receipts This Page (optional) .....

150.00

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 75 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

**A.** Joseph a Loftus

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
SENIOR VICE PRESIDENT

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

660.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 1 1 / 0 3 / 2 0 0 6

Transaction ID: A2006-1555843

Amount of Each Receipt this Period

30.00

Full Name (Last, First, Middle Initial)

**B.** Joseph a Loftus

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
SENIOR VICE PRESIDENT

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

690.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 1 1 / 1 7 / 2 0 0 6

Transaction ID: A2006-1668653

Amount of Each Receipt this Period

30.00

Full Name (Last, First, Middle Initial)

**C.** David W Lohuis

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
SENIOR VICE PRESIDENT

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1050.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 1 0 / 3 1 / 2 0 0 6

Transaction ID: A2006-1537063

Amount of Each Receipt this Period

50.00

**SUBTOTAL** of Receipts This Page (optional) .....

110.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 76 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

**A.** Full Name (Last, First, Middle Initial)  
David W Lohuis  
Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
SENIOR VICE PRESIDENT

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1100.00

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 0 3 / 2 0 0 6

Transaction ID: A2006-1555795

Amount of Each Receipt this Period

50.00

**B.** Full Name (Last, First, Middle Initial)  
David W Lohuis  
Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
SENIOR VICE PRESIDENT

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1130.00

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 1 7 / 2 0 0 6

Transaction ID: A2006-1668609

Amount of Each Receipt this Period

30.00

**C.** Full Name (Last, First, Middle Initial)  
Tim F Luke  
Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

525.00

Date of Receipt

M M / D D / Y Y Y Y Y  
1 0 / 3 1 / 2 0 0 6

Transaction ID: A2006-1537127

Amount of Each Receipt this Period

25.00

**SUBTOTAL** of Receipts This Page (optional) .....

105.00

**TOTAL** This Period (last page this line number only) .....

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 77 / 146

(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

<b>A.</b> Full Name (Last, First, Middle Initial) Tim F Luke Mailing Address 745 SEVENTH AVENUE City State Zip Code NEW YORK NY 10019 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation EXECUTIVE Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 550.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 0 3 / 2 0 0 6 <b>Transaction ID:</b> A2006-1555864 Amount of Each Receipt this Period 25.00
<b>B.</b> Full Name (Last, First, Middle Initial) Tim F Luke Mailing Address 745 SEVENTH AVENUE City State Zip Code NEW YORK NY 10019 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation EXECUTIVE Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 575.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 1 7 / 2 0 0 6 <b>Transaction ID:</b> A2006-1668674 Amount of Each Receipt this Period 25.00
<b>C.</b> Full Name (Last, First, Middle Initial) Frank j Lupo jr Mailing Address 745 SEVENTH AVENUE City State Zip Code NEW YORK NY 10019 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation SENIOR VICE PRESIDENT Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 420.00		Date of Receipt M M / D D / Y Y Y Y Y 1 0 / 3 1 / 2 0 0 6 <b>Transaction ID:</b> A2006-1537101 Amount of Each Receipt this Period 20.00

**SUBTOTAL** of Receipts This Page (optional) .....

70.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 78 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)

Frank j Lupo jr

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
SENIOR VICE PRESIDENT

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

440.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 1 1 / 0 3 / 2 0 0 6

Transaction ID: A2006-1555838

Amount of Each Receipt this Period

20.00

B. Full Name (Last, First, Middle Initial)

Frank j Lupo jr

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
SENIOR VICE PRESIDENT

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

460.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 1 1 / 1 7 / 2 0 0 6

Transaction ID: A2006-1668648

Amount of Each Receipt this Period

20.00

C. Full Name (Last, First, Middle Initial)

Salli B Madden

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

420.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 1 0 / 3 1 / 2 0 0 6

Transaction ID: A2006-1537120

Amount of Each Receipt this Period

20.00

SUBTOTAL of Receipts This Page (optional) .....

60.00

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 79 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. Salli B Madden

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

440.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 1 / 0 3 / 2 0 0 6

Transaction ID: A2006-1555857

Amount of Each Receipt this Period

20.00

Full Name (Last, First, Middle Initial)

B. Salli B Madden

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

460.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 1 / 1 7 / 2 0 0 6

Transaction ID: A2006-1668667

Amount of Each Receipt this Period

20.00

Full Name (Last, First, Middle Initial)

C. Francis K Mahoney

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1050.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 0 / 3 1 / 2 0 0 6

Transaction ID: A2006-1537150

Amount of Each Receipt this Period

50.00

SUBTOTAL of Receipts This Page (optional) .....

90.00

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 80 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

**A.** Full Name (Last, First, Middle Initial)  
Francis K Mahoney  
Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1100.00

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 0 3 / 2 0 0 6

Transaction ID: A2006-1555889

Amount of Each Receipt this Period

50.00

**B.** Full Name (Last, First, Middle Initial)  
Francis K Mahoney  
Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1150.00

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 1 7 / 2 0 0 6

Transaction ID: A2006-1668697

Amount of Each Receipt this Period

50.00

**C.** Full Name (Last, First, Middle Initial)  
Guy A Majestic  
Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

315.00

Date of Receipt

M M / D D / Y Y Y Y Y  
1 0 / 3 1 / 2 0 0 6

Transaction ID: A2006-1537102

Amount of Each Receipt this Period

15.00

**SUBTOTAL** of Receipts This Page (optional) .....

115.00

**TOTAL** This Period (last page this line number only) .....



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 81 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

**A.** Full Name (Last, First, Middle Initial)  
Guy A Majetic  
Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

330.00

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 0 3 / 2 0 0 6

Transaction ID: A2006-1555839

Amount of Each Receipt this Period

15.00

**B.** Full Name (Last, First, Middle Initial)  
Guy A Majetic  
Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

345.00

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 1 7 / 2 0 0 6

Transaction ID: A2006-1668649

Amount of Each Receipt this Period

15.00

**C.** Full Name (Last, First, Middle Initial)  
Andrew j Malik  
Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
MANAGING DIRECTOR

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1050.00

Date of Receipt

M M / D D / Y Y Y Y  
1 0 / 3 1 / 2 0 0 6

Transaction ID: A2006-1537126

Amount of Each Receipt this Period

50.00

**SUBTOTAL** of Receipts This Page (optional) .....

80.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 82 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. Andrew j Malik

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
MANAGING DIRECTOR

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1100.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 1 / 0 3 / 2 0 0 6

Transaction ID: A2006-1555863

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

B. Andrew j Malik

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
MANAGING DIRECTOR

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1150.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 1 / 1 7 / 2 0 0 6

Transaction ID: A2006-1668673

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

C. John V Malvey

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
SENIOR VICE PRESIDENT

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1050.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 0 / 3 1 / 2 0 0 6

Transaction ID: A2006-1537135

Amount of Each Receipt this Period

50.00

SUBTOTAL of Receipts This Page (optional) .....

150.00

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 83 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. John V Malvey

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
SENIOR VICE PRESIDENT

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1100.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 1 1 / 0 3 / 2 0 0 6

Transaction ID: A2006-1555872

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

B. John V Malvey

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
SENIOR VICE PRESIDENT

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1150.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 1 1 / 1 7 / 2 0 0 6

Transaction ID: A2006-1668682

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

C. Alan j Marantz

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
MANAGING DIRECTOR

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1050.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 1 0 / 3 1 / 2 0 0 6

Transaction ID: A2006-1537160

Amount of Each Receipt this Period

50.00

SUBTOTAL of Receipts This Page (optional) .....

150.00

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 84 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. Alan j Marantz

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
MANAGING DIRECTOR

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1100.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 1 / 0 3 / 2 0 0 6

Transaction ID: A2006-1555899

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

B. Alan j Marantz

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
MANAGING DIRECTOR

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1150.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 1 / 1 7 / 2 0 0 6

Transaction ID: A2006-1668707

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

C. Mark j Marcucci

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
VICE PRESIDENT

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

210.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 0 / 3 1 / 2 0 0 6

Transaction ID: A2006-1537152

Amount of Each Receipt this Period

10.00

SUBTOTAL of Receipts This Page (optional) .....

110.00

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 85 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

**A.** Full Name (Last, First, Middle Initial)  
Mark j Marcucci  
Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
VICE PRESIDENT

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

220.00

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 0 3 / 2 0 0 6

Transaction ID: A2006-1555891

Amount of Each Receipt this Period

10.00

**B.** Full Name (Last, First, Middle Initial)  
Mark j Marcucci  
Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
VICE PRESIDENT

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

230.00

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 1 7 / 2 0 0 6

Transaction ID: A2006-1668699

Amount of Each Receipt this Period

10.00

**C.** Full Name (Last, First, Middle Initial)  
Thomas N Marino  
Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
MANAGING DIRECTOR

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1050.00

Date of Receipt

M M / D D / Y Y Y Y  
1 0 / 3 1 / 2 0 0 6

Transaction ID: A2006-1537116

Amount of Each Receipt this Period

50.00

**SUBTOTAL** of Receipts This Page (optional) .....

70.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 86 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. Thomas N Marino

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
MANAGING DIRECTOR

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1100.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 1 1 / 0 3 / 2 0 0 6

Transaction ID: A2006-1555853

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

B. Thomas N Marino

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
MANAGING DIRECTOR

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1150.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 1 1 / 1 7 / 2 0 0 6

Transaction ID: A2006-1668663

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

C. Lawrence C Marsh

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1050.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 1 0 / 3 1 / 2 0 0 6

Transaction ID: A2006-1537091

Amount of Each Receipt this Period

50.00

SUBTOTAL of Receipts This Page (optional) .....

150.00

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 87 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

**A.** Full Name (Last, First, Middle Initial)  
Lawrence C Marsh  
Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1100.00

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 0 3 / 2 0 0 6

Transaction ID: A2006-1555828

Amount of Each Receipt this Period

50.00

**B.** Full Name (Last, First, Middle Initial)  
Lawrence C Marsh  
Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1150.00

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 1 7 / 2 0 0 6

Transaction ID: A2006-1668638

Amount of Each Receipt this Period

50.00

**C.** Full Name (Last, First, Middle Initial)  
Ravi K Mattu  
Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
MANAGING DIRECTOR

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1050.00

Date of Receipt

M M / D D / Y Y Y Y  
1 0 / 3 1 / 2 0 0 6

Transaction ID: A2006-1537084

Amount of Each Receipt this Period

50.00

**SUBTOTAL** of Receipts This Page (optional) .....

150.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 88 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

<b>A.</b> Full Name (Last, First, Middle Initial) Ravi K Mattu		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 0 3 / 2 0 0 6
Mailing Address 745 SEVENTH AVENUE		<b>Transaction ID:</b> A2006-1555820
City NEW YORK	State NY	Zip Code 10019
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 50.00
Name of Employer LEHMAN BROTHERS HOLDINGS INC.	Occupation MANAGING DIRECTOR	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 1100.00	

<b>B.</b> Full Name (Last, First, Middle Initial) Ravi K Mattu		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 1 7 / 2 0 0 6
Mailing Address 745 SEVENTH AVENUE		<b>Transaction ID:</b> A2006-1668630
City NEW YORK	State NY	Zip Code 10019
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 50.00
Name of Employer LEHMAN BROTHERS HOLDINGS INC.	Occupation MANAGING DIRECTOR	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 1150.00	

<b>C.</b> Full Name (Last, First, Middle Initial) JANICE B MCCOMBIE		Date of Receipt M M / D D / Y Y Y Y Y 1 0 / 3 1 / 2 0 0 6
Mailing Address 745 SEVENTH AVENUE		<b>Transaction ID:</b> A2006-1537176
City NEW YORK	State NY	Zip Code 10019
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 15.00
Name of Employer LEHMAN BROTHERS HOLDINGS INC.	Occupation EXECUTIVE	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 315.00	

**SUBTOTAL** of Receipts This Page (optional) .....

115.00

**TOTAL** This Period (last page this line number only) .....



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 89 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

**A.** JANICE B MCCOMBIE

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

330.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 1 / 0 3 / 2 0 0 6

Transaction ID: A2006-1555917

Amount of Each Receipt this Period

15.00

Full Name (Last, First, Middle Initial)

**B.** JANICE B MCCOMBIE

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

345.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 1 / 1 7 / 2 0 0 6

Transaction ID: A2006-1668722

Amount of Each Receipt this Period

15.00

Full Name (Last, First, Middle Initial)

**C.** Troy M McSimov

Mailing Address 326 Oakhust Dr.

City State Zip Code  
 Murphy TX 75094

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

315.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 0 / 3 1 / 2 0 0 6

Transaction ID: A2006-1537074

Amount of Each Receipt this Period

15.00

**SUBTOTAL** of Receipts This Page (optional) .....

45.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 90 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. Troy M McSimov

Mailing Address 326 Oakhust Dr.

City State Zip Code  
 Murphy TX 75094

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

330.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 1 / 0 3 / 2 0 0 6

Transaction ID: A2006-1555809

Amount of Each Receipt this Period

15.00

Full Name (Last, First, Middle Initial)

B. Troy M McSimov

Mailing Address 326 Oakhust Dr.

City State Zip Code  
 Murphy TX 75094

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

358.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 1 / 1 7 / 2 0 0 6

Transaction ID: A2006-1668620

Amount of Each Receipt this Period

28.00

Full Name (Last, First, Middle Initial)

C. Jeff A Michaels

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1200.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 1 / 0 3 / 2 0 0 6

Transaction ID: A2006-1580265

Amount of Each Receipt this Period

1200.00

SUBTOTAL of Receipts This Page (optional) .....

1243.00

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 91 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

<b>A.</b> Full Name (Last, First, Middle Initial) Grant C Miller		Date of Receipt M M / D D / Y Y Y Y Y 1 0 / 3 1 / 2 0 0 6 <b>Transaction ID:</b> A2006-1537164 Amount of Each Receipt this Period 15.00
Mailing Address 745 SEVENTH AVENUE City State Zip Code NEW YORK NY 10019 FEC ID number of contributing federal political committee. C Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation EXECUTIVE Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 315.00		

<b>B.</b> Full Name (Last, First, Middle Initial) Grant C Miller		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 0 3 / 2 0 0 6 <b>Transaction ID:</b> A2006-1555904 Amount of Each Receipt this Period 15.00
Mailing Address 745 SEVENTH AVENUE City State Zip Code NEW YORK NY 10019 FEC ID number of contributing federal political committee. C Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation EXECUTIVE Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 330.00		

<b>C.</b> Full Name (Last, First, Middle Initial) Grant C Miller		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 1 7 / 2 0 0 6 <b>Transaction ID:</b> A2006-1668710 Amount of Each Receipt this Period 15.00
Mailing Address 745 SEVENTH AVENUE City State Zip Code NEW YORK NY 10019 FEC ID number of contributing federal political committee. C Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation EXECUTIVE Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 345.00		

**SUBTOTAL** of Receipts This Page (optional) .....

45.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 92 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

<b>A.</b> Full Name (Last, First, Middle Initial) Matthew C Miller Mailing Address 745 SEVENTH AVENUE City NEW YORK State NY Zip Code 10019 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation EXECUTIVE Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 315.00		Date of Receipt M M / D D / Y Y Y Y Y 1 0 / 3 1 / 2 0 0 6 <b>Transaction ID:</b> A2006-1537110 Amount of Each Receipt this Period 15.00
<b>B.</b> Full Name (Last, First, Middle Initial) Matthew C Miller Mailing Address 745 SEVENTH AVENUE City NEW YORK State NY Zip Code 10019 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation EXECUTIVE Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 330.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 0 3 / 2 0 0 6 <b>Transaction ID:</b> A2006-1555847 Amount of Each Receipt this Period 15.00
<b>C.</b> Full Name (Last, First, Middle Initial) Matthew C Miller Mailing Address 745 SEVENTH AVENUE City NEW YORK State NY Zip Code 10019 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation EXECUTIVE Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 345.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 1 7 / 2 0 0 6 <b>Transaction ID:</b> A2006-1668657 Amount of Each Receipt this Period 15.00

**SUBTOTAL** of Receipts This Page (optional) .....

45.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 93 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

<b>A.</b> Full Name (Last, First, Middle Initial) David M Moore Mailing Address 752 San Diego Road City Berkeley State CA Zip Code 94707 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation EXECUTIVE Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 210.00			Date of Receipt M M / D D / Y Y Y Y Y 1 0 / 3 1 / 2 0 0 6 <b>Transaction ID: A2006-1537171</b> Amount of Each Receipt this Period 10.00
<b>B.</b> Full Name (Last, First, Middle Initial) David M Moore Mailing Address 752 San Diego Road City Berkeley State CA Zip Code 94707 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation EXECUTIVE Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 220.00			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 0 3 / 2 0 0 6 <b>Transaction ID: A2006-1555912</b> Amount of Each Receipt this Period 10.00
<b>C.</b> Full Name (Last, First, Middle Initial) David M Moore Mailing Address 752 San Diego Road City Berkeley State CA Zip Code 94707 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation EXECUTIVE Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 230.00			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 1 7 / 2 0 0 6 <b>Transaction ID: A2006-1668717</b> Amount of Each Receipt this Period 10.00

**SUBTOTAL** of Receipts This Page (optional) .....

30.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 94 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

<b>A.</b> Full Name (Last, First, Middle Initial) Guy j Murray Mailing Address 745 SEVENTH AVENUE City State Zip Code NEW YORK NY 10019 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation VICE PRESIDENT Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 210.00		Date of Receipt M M / D D / Y Y Y Y Y 1 0 / 3 1 / 2 0 0 6 <b>Transaction ID:</b> A2006-1537070 Amount of Each Receipt this Period 10.00
<b>B.</b> Full Name (Last, First, Middle Initial) Guy j Murray Mailing Address 745 SEVENTH AVENUE City State Zip Code NEW YORK NY 10019 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation VICE PRESIDENT Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 220.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 0 3 / 2 0 0 6 <b>Transaction ID:</b> A2006-1555803 Amount of Each Receipt this Period 10.00
<b>C.</b> Full Name (Last, First, Middle Initial) Guy j Murray Mailing Address 745 SEVENTH AVENUE City State Zip Code NEW YORK NY 10019 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation VICE PRESIDENT Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 230.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 1 7 / 2 0 0 6 <b>Transaction ID:</b> A2006-1668616 Amount of Each Receipt this Period 10.00

**SUBTOTAL** of Receipts This Page (optional) .....

30.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 95 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. John p Murray jr

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
VICE PRESIDENT

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

420.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 0 / 3 1 / 2 0 0 6

Transaction ID: A2006-1537060

Amount of Each Receipt this Period

20.00

Full Name (Last, First, Middle Initial)

B. John p Murray jr

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
VICE PRESIDENT

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

440.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 1 / 0 3 / 2 0 0 6

Transaction ID: A2006-1555792

Amount of Each Receipt this Period

20.00

Full Name (Last, First, Middle Initial)

C. John p Murray jr

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
VICE PRESIDENT

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

490.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 1 / 1 7 / 2 0 0 6

Transaction ID: A2006-1668606

Amount of Each Receipt this Period

50.00

SUBTOTAL of Receipts This Page (optional) .....

90.00

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 96 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

**A.** Full Name (Last, First, Middle Initial)  
Frank A Napolitano  
Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1050.00

Date of Receipt

M M / D D / Y Y Y Y  
1 0 / 3 1 / 2 0 0 6

Transaction ID: A2006-1537131

Amount of Each Receipt this Period

50.00

**B.** Full Name (Last, First, Middle Initial)  
Frank A Napolitano  
Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1100.00

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 0 3 / 2 0 0 6

Transaction ID: A2006-1555868

Amount of Each Receipt this Period

50.00

**C.** Full Name (Last, First, Middle Initial)  
Frank A Napolitano  
Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1150.00

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 1 7 / 2 0 0 6

Transaction ID: A2006-1668678

Amount of Each Receipt this Period

50.00

**SUBTOTAL** of Receipts This Page (optional) .....

150.00

**TOTAL** This Period (last page this line number only) .....



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 97 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. Anthony a Nash

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
VICE PRESIDENT

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

210.00

Date of Receipt

M M / D D / Y Y Y Y  
1 0 / 3 1 / 2 0 0 6

Transaction ID: A2006-1537180

Amount of Each Receipt this Period

10.00

Full Name (Last, First, Middle Initial)

B. Anthony a Nash

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
VICE PRESIDENT

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

220.00

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 0 3 / 2 0 0 6

Transaction ID: A2006-1555922

Amount of Each Receipt this Period

10.00

Full Name (Last, First, Middle Initial)

C. Anthony a Nash

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
VICE PRESIDENT

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

230.00

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 1 7 / 2 0 0 6

Transaction ID: A2006-1668726

Amount of Each Receipt this Period

10.00

SUBTOTAL of Receipts This Page (optional) .....

30.00

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 98 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. Deirdre j O'donnell

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
SENIOR VICE PRESIDENT

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

420.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 1 0 / 3 1 / 2 0 0 6

Transaction ID: A2006-1537068

Amount of Each Receipt this Period

20.00

Full Name (Last, First, Middle Initial)

B. Deirdre j O'donnell

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
SENIOR VICE PRESIDENT

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

440.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 1 1 / 0 3 / 2 0 0 6

Transaction ID: A2006-1555800

Amount of Each Receipt this Period

20.00

Full Name (Last, First, Middle Initial)

C. Deirdre j O'donnell

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
SENIOR VICE PRESIDENT

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

460.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 1 1 / 1 7 / 2 0 0 6

Transaction ID: A2006-1668614

Amount of Each Receipt this Period

20.00

SUBTOTAL of Receipts This Page (optional) .....

60.00

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 99 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

**A.** Full Name (Last, First, Middle Initial)  
Michael j Odrich  
Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
VICE PRESIDENT

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1050.00

Date of Receipt

M M / D D / Y Y Y Y Y  
1 0 / 3 1 / 2 0 0 6

Transaction ID: A2006-1537139

Amount of Each Receipt this Period

50.00

**B.** Full Name (Last, First, Middle Initial)  
Michael j Odrich  
Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
VICE PRESIDENT

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1100.00

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 0 3 / 2 0 0 6

Transaction ID: A2006-1555876

Amount of Each Receipt this Period

50.00

**C.** Full Name (Last, First, Middle Initial)  
Michael j Odrich  
Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
VICE PRESIDENT

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1150.00

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 1 7 / 2 0 0 6

Transaction ID: A2006-1668686

Amount of Each Receipt this Period

50.00

**SUBTOTAL** of Receipts This Page (optional) .....

150.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 100 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

<b>A.</b> Full Name (Last, First, Middle Initial) Robert Okin Mailing Address 745 SEVENTH AVENUE City State Zip Code NEW YORK NY 10019 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation EXECUTIVE Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 315.00		Date of Receipt M M / D D / Y Y Y Y Y 1 0 / 3 1 / 2 0 0 6 <b>Transaction ID:</b> A2006-1537136 Amount of Each Receipt this Period 15.00
<b>B.</b> Full Name (Last, First, Middle Initial) Robert Okin Mailing Address 745 SEVENTH AVENUE City State Zip Code NEW YORK NY 10019 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation EXECUTIVE Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 330.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 0 3 / 2 0 0 6 <b>Transaction ID:</b> A2006-1555873 Amount of Each Receipt this Period 15.00
<b>C.</b> Full Name (Last, First, Middle Initial) Robert Okin Mailing Address 745 SEVENTH AVENUE City State Zip Code NEW YORK NY 10019 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation EXECUTIVE Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 360.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 1 7 / 2 0 0 6 <b>Transaction ID:</b> A2006-1668683 Amount of Each Receipt this Period 30.00
<b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶		60.00
<b>TOTAL</b> This Period (last page this line number only) ..... ▶		

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 101 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

<b>A.</b> Full Name (Last, First, Middle Initial) Marc I Paley Mailing Address 745 SEVENTH AVENUE City State Zip Code NEW YORK NY 10019 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation SENIOR VICE PRESIDENT Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 210.00		Date of Receipt M M / D D / Y Y Y Y Y 1 0 / 3 1 / 2 0 0 6 <b>Transaction ID: A2006-1537137</b> Amount of Each Receipt this Period 10.00
<b>B.</b> Full Name (Last, First, Middle Initial) Marc I Paley Mailing Address 745 SEVENTH AVENUE City State Zip Code NEW YORK NY 10019 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation SENIOR VICE PRESIDENT Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 220.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 0 3 / 2 0 0 6 <b>Transaction ID: A2006-1555874</b> Amount of Each Receipt this Period 10.00
<b>C.</b> Full Name (Last, First, Middle Initial) Marc I Paley Mailing Address 745 SEVENTH AVENUE City State Zip Code NEW YORK NY 10019 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation SENIOR VICE PRESIDENT Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 230.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 1 7 / 2 0 0 6 <b>Transaction ID: A2006-1668684</b> Amount of Each Receipt this Period 10.00

**SUBTOTAL** of Receipts This Page (optional) .....

30.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 102 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. Sotirios Panageas

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
VICE PRESIDENT

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1050.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 1 0 / 3 1 / 2 0 0 6

Transaction ID: A2006-1537144

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

B. Sotirios Panageas

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
VICE PRESIDENT

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1100.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 1 1 / 0 3 / 2 0 0 6

Transaction ID: A2006-1555882

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

C. Sotirios Panageas

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
VICE PRESIDENT

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1150.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 1 1 / 1 7 / 2 0 0 6

Transaction ID: A2006-1668691

Amount of Each Receipt this Period

50.00

SUBTOTAL of Receipts This Page (optional) .....

150.00

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 103 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

<b>A.</b> Full Name (Last, First, Middle Initial) Brian K Paul		Date of Receipt M M / D D / Y Y Y Y Y 1 0 / 3 1 / 2 0 0 6
Mailing Address 745 SEVENTH AVENUE		
City NEW YORK	State NY	Zip Code 10019
FEC ID number of contributing federal political committee. C		<b>Transaction ID:</b> A2006-1537163
Name of Employer LEHMAN BROTHERS HOLDINGS INC.		Amount of Each Receipt this Period 30.00
Occupation EXECUTIVE		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 630.00	

<b>B.</b> Full Name (Last, First, Middle Initial) Brian K Paul		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 0 3 / 2 0 0 6
Mailing Address 745 SEVENTH AVENUE		
City NEW YORK	State NY	Zip Code 10019
FEC ID number of contributing federal political committee. C		<b>Transaction ID:</b> A2006-1555903
Name of Employer LEHMAN BROTHERS HOLDINGS INC.		Amount of Each Receipt this Period 30.00
Occupation EXECUTIVE		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 660.00	

<b>C.</b> Full Name (Last, First, Middle Initial) Brian K Paul		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 1 7 / 2 0 0 6
Mailing Address 745 SEVENTH AVENUE		
City NEW YORK	State NY	Zip Code 10019
FEC ID number of contributing federal political committee. C		<b>Transaction ID:</b> A2006-1668709
Name of Employer LEHMAN BROTHERS HOLDINGS INC.		Amount of Each Receipt this Period 30.00
Occupation EXECUTIVE		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 690.00	

**SUBTOTAL** of Receipts This Page (optional) .....

90.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 104 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. James c Penrose

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
MANAGING DIRECTOR

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

840.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 1 0 / 3 1 / 2 0 0 6

Transaction ID: A2006-1537161

Amount of Each Receipt this Period

40.00

Full Name (Last, First, Middle Initial)

B. James c Penrose

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
MANAGING DIRECTOR

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

880.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 1 1 / 0 3 / 2 0 0 6

Transaction ID: A2006-1555900

Amount of Each Receipt this Period

40.00

Full Name (Last, First, Middle Initial)

C. James c Penrose

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
MANAGING DIRECTOR

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

920.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 1 1 / 1 7 / 2 0 0 6

Transaction ID: A2006-1668708

Amount of Each Receipt this Period

40.00

SUBTOTAL of Receipts This Page (optional) .....

120.00

TOTAL This Period (last page this line number only) .....



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 105 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)

Stephen Peters

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
MANAGING DIRECTOR

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

420.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 0 / 3 1 / 2 0 0 6

Transaction ID: A2006-1537096

Amount of Each Receipt this Period

20.00

B. Full Name (Last, First, Middle Initial)

Stephen Peters

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
MANAGING DIRECTOR

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

440.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 1 / 0 3 / 2 0 0 6

Transaction ID: A2006-1555833

Amount of Each Receipt this Period

20.00

C. Full Name (Last, First, Middle Initial)

Stephen Peters

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
MANAGING DIRECTOR

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

460.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 1 / 1 7 / 2 0 0 6

Transaction ID: A2006-1668643

Amount of Each Receipt this Period

20.00

**SUBTOTAL** of Receipts This Page (optional) .....

60.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 106 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

<b>A.</b> Full Name (Last, First, Middle Initial) Louis Pia Mailing Address 745 SEVENTH AVENUE City State Zip Code NEW YORK NY 10019 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation SENIOR VICE PRESIDENT Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 420.00		Date of Receipt M M / D D / Y Y Y Y Y 1 0 / 3 1 / 2 0 0 6 <b>Transaction ID:</b> A2006-1537155 Amount of Each Receipt this Period 20.00
<b>B.</b> Full Name (Last, First, Middle Initial) Louis Pia Mailing Address 745 SEVENTH AVENUE City State Zip Code NEW YORK NY 10019 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation SENIOR VICE PRESIDENT Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 440.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 0 3 / 2 0 0 6 <b>Transaction ID:</b> A2006-1555894 Amount of Each Receipt this Period 20.00
<b>C.</b> Full Name (Last, First, Middle Initial) Louis Pia Mailing Address 745 SEVENTH AVENUE City State Zip Code NEW YORK NY 10019 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation SENIOR VICE PRESIDENT Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 460.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 1 7 / 2 0 0 6 <b>Transaction ID:</b> A2006-1668702 Amount of Each Receipt this Period 20.00

**SUBTOTAL** of Receipts This Page (optional) .....

60.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 107 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. Joseph Polizzotto

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
MANAGING DIRECTOR

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

420.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 1 0 / 3 1 / 2 0 0 6

Transaction ID: A2006-1537128

Amount of Each Receipt this Period

20.00

Full Name (Last, First, Middle Initial)

B. Joseph Polizzotto

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
MANAGING DIRECTOR

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

440.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 1 1 / 0 3 / 2 0 0 6

Transaction ID: A2006-1555865

Amount of Each Receipt this Period

20.00

Full Name (Last, First, Middle Initial)

C. Joseph Polizzotto

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
MANAGING DIRECTOR

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

460.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 1 1 / 1 7 / 2 0 0 6

Transaction ID: A2006-1668675

Amount of Each Receipt this Period

20.00

SUBTOTAL of Receipts This Page (optional) .....

60.00

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 108 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

**A.** James v Pucciarelli

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
MANAGING DIRECTOR

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

423.06

Date of Receipt

M M / D D / Y Y Y Y Y  
 1 1 / 0 3 / 2 0 0 6

Transaction ID: A2006-1555935

Amount of Each Receipt this Period

38.46

Full Name (Last, First, Middle Initial)

**B.** Brian M Reilly

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

525.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 1 0 / 3 1 / 2 0 0 6

Transaction ID: A2006-1537117

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**C.** Brian M Reilly

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

550.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 1 1 / 0 3 / 2 0 0 6

Transaction ID: A2006-1555854

Amount of Each Receipt this Period

25.00

**SUBTOTAL** of Receipts This Page (optional) .....

88.46

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 109 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

**A.** Full Name (Last, First, Middle Initial)  
 Brian M Reilly  
 Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
 LEHMAN BROTHERS HOLDINGS  
 INC.

Occupation  
 EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

575.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 1 1 / 1 7 / 2 0 0 6

Transaction ID: A2006-1668664

Amount of Each Receipt this Period

25.00

**B.** Full Name (Last, First, Middle Initial)  
 Dominic Rispoli  
 Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
 LEHMAN BROTHERS HOLDINGS  
 INC.

Occupation  
 EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

525.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 1 0 / 3 1 / 2 0 0 6

Transaction ID: A2006-1537134

Amount of Each Receipt this Period

25.00

**C.** Full Name (Last, First, Middle Initial)  
 Dominic Rispoli  
 Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
 LEHMAN BROTHERS HOLDINGS  
 INC.

Occupation  
 EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

550.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 1 1 / 0 3 / 2 0 0 6

Transaction ID: A2006-1555871

Amount of Each Receipt this Period

25.00

**SUBTOTAL** of Receipts This Page (optional) .....

75.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 110 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

**A.** Dominic Rispoli

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

575.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 1 / 1 7 / 2 0 0 6

Transaction ID: A2006-1668681

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**B.** Gerald a Rizzieri

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
SENIOR VICE PRESIDENT

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

840.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 0 / 3 1 / 2 0 0 6

Transaction ID: A2006-1537142

Amount of Each Receipt this Period

40.00

Full Name (Last, First, Middle Initial)

**C.** Gerald a Rizzieri

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
SENIOR VICE PRESIDENT

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

880.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 1 / 0 3 / 2 0 0 6

Transaction ID: A2006-1555880

Amount of Each Receipt this Period

40.00

**SUBTOTAL** of Receipts This Page (optional) .....

105.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 111 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

<b>A.</b> Full Name (Last, First, Middle Initial) Gerald a Rizzieri Mailing Address 745 SEVENTH AVENUE City State Zip Code NEW YORK NY 10019 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation SENIOR VICE PRESIDENT Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 920.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 1 7 / 2 0 0 6 <b>Transaction ID:</b> A2006-1668689 Amount of Each Receipt this Period 40.00
<b>B.</b> Full Name (Last, First, Middle Initial) Charles k Rizzo Mailing Address 745 SEVENTH AVENUE City State Zip Code NEW YORK NY 10019 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation VICE PRESIDENT Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 210.00		Date of Receipt M M / D D / Y Y Y Y Y 1 0 / 3 1 / 2 0 0 6 <b>Transaction ID:</b> A2006-1537145 Amount of Each Receipt this Period 10.00
<b>C.</b> Full Name (Last, First, Middle Initial) Charles k Rizzo Mailing Address 745 SEVENTH AVENUE City State Zip Code NEW YORK NY 10019 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation VICE PRESIDENT Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 220.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 0 3 / 2 0 0 6 <b>Transaction ID:</b> A2006-1555883 Amount of Each Receipt this Period 10.00

**SUBTOTAL** of Receipts This Page (optional) .....

60.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 112 / 146  
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

**A.** Charles k Rizzo

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
VICE PRESIDENT

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

230.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 1 1 / 1 7 / 2 0 0 6

Transaction ID: A2006-1668692

Amount of Each Receipt this Period

10.00

Full Name (Last, First, Middle Initial)

**B.** Mark J Rossano

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

630.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 1 0 / 3 1 / 2 0 0 6

Transaction ID: A2006-1537109

Amount of Each Receipt this Period

30.00

Full Name (Last, First, Middle Initial)

**C.** Mark J Rossano

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

660.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 1 1 / 0 3 / 2 0 0 6

Transaction ID: A2006-1555846

Amount of Each Receipt this Period

30.00

**SUBTOTAL** of Receipts This Page (optional) .....

70.00

**TOTAL** This Period (last page this line number only) .....



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 113 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

**A.** Full Name (Last, First, Middle Initial)  
Mark J Rossano  
Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

690.00

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 1 7 / 2 0 0 6

Transaction ID: A2006-1668656

Amount of Each Receipt this Period

30.00

**B.** Full Name (Last, First, Middle Initial)  
Beth I Rowley  
Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
MANAGING DIRECTOR

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

760.00

Date of Receipt

M M / D D / Y Y Y Y  
1 0 / 3 1 / 2 0 0 6

Transaction ID: A2006-1537111

Amount of Each Receipt this Period

40.00

**C.** Full Name (Last, First, Middle Initial)  
Beth I Rowley  
Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
MANAGING DIRECTOR

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

800.00

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 0 3 / 2 0 0 6

Transaction ID: A2006-1555848

Amount of Each Receipt this Period

40.00

**SUBTOTAL** of Receipts This Page (optional) .....

110.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 114 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

<b>A.</b> Full Name (Last, First, Middle Initial) Beth I Rowley		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 1 6 / 2 0 0 6
Mailing Address 745 SEVENTH AVENUE		<b>Transaction ID:</b> A2006-1746757
City NEW YORK	State NY	Zip Code 10019
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 1200.00
Name of Employer LEHMAN BROTHERS HOLDINGS INC.	Occupation MANAGING DIRECTOR	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 2000.00	

<b>B.</b> Full Name (Last, First, Middle Initial) Beth I Rowley		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 1 7 / 2 0 0 6
Mailing Address 745 SEVENTH AVENUE		<b>Transaction ID:</b> A2006-1668658
City NEW YORK	State NY	Zip Code 10019
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 40.00
Name of Employer LEHMAN BROTHERS HOLDINGS INC.	Occupation MANAGING DIRECTOR	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 2040.00	

<b>C.</b> Full Name (Last, First, Middle Initial) Thomas A Russo		Date of Receipt M M / D D / Y Y Y Y Y 1 0 / 3 1 / 2 0 0 6
Mailing Address 745 SEVENTH AVENUE		<b>Transaction ID:</b> A2006-1537184
City NEW YORK	State NY	Zip Code 10019
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 50.00
Name of Employer LEHMAN BROTHERS HOLDINGS INC.	Occupation MANAGING DIRECTOR	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 1050.00	

**SUBTOTAL** of Receipts This Page (optional) .....

1290.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 115 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. Thomas A Russo

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
MANAGING DIRECTOR

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1100.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 1 / 0 3 / 2 0 0 6

Transaction ID: A2006-1555926

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

B. Thomas A Russo

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
MANAGING DIRECTOR

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2300.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 1 / 0 8 / 2 0 0 6

Transaction ID: A2006-1580209

Amount of Each Receipt this Period

1200.00

Full Name (Last, First, Middle Initial)

C. Thomas A Russo

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
MANAGING DIRECTOR

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2350.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 1 / 1 7 / 2 0 0 6

Transaction ID: A2006-1668730

Amount of Each Receipt this Period

50.00

SUBTOTAL of Receipts This Page (optional) .....

1300.00

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 116 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

<b>A.</b> Full Name (Last, First, Middle Initial) Ms. Ladivala Sajjad Mailing Address 745 SEVENTH AVE 13TH FLOOR City NEW YORK State NY Zip Code 10019 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 330.00		Date of Receipt M M / D D / Y Y Y Y Y 1 0 / 3 1 / 2 0 0 6 <b>Transaction ID:</b> A2006-1537196 Amount of Each Receipt this Period 30.00
<b>B.</b> Full Name (Last, First, Middle Initial) Ms. Ladivala Sajjad Mailing Address 745 SEVENTH AVE 13TH FLOOR City NEW YORK State NY Zip Code 10019 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 360.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 0 3 / 2 0 0 6 <b>Transaction ID:</b> A2006-1555939 Amount of Each Receipt this Period 30.00
<b>C.</b> Full Name (Last, First, Middle Initial) Ms. Ladivala Sajjad Mailing Address 745 SEVENTH AVE 13TH FLOOR City NEW YORK State NY Zip Code 10019 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 390.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 1 7 / 2 0 0 6 <b>Transaction ID:</b> A2006-1668743 Amount of Each Receipt this Period 30.00

**SUBTOTAL** of Receipts This Page (optional) .....

90.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 117 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

**A.** Full Name (Last, First, Middle Initial)  
Gilbert D Sanborn  
Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1050.00

Date of Receipt

M M / D D / Y Y Y Y  
1 0 / 3 1 / 2 0 0 6

Transaction ID: A2006-1537165

Amount of Each Receipt this Period

50.00

**B.** Full Name (Last, First, Middle Initial)  
Gilbert D Sanborn  
Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1100.00

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 0 3 / 2 0 0 6

Transaction ID: A2006-1555905

Amount of Each Receipt this Period

50.00

**C.** Full Name (Last, First, Middle Initial)  
Gilbert D Sanborn  
Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1150.00

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 1 7 / 2 0 0 6

Transaction ID: A2006-1668711

Amount of Each Receipt this Period

50.00

**SUBTOTAL** of Receipts This Page (optional) .....

150.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 118 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

<b>A.</b> Full Name (Last, First, Middle Initial) Edward G Sanchez Mailing Address 745 SEVENTH AVE 13TH FLOOR City State Zip Code NEW YORK NY 10019 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 360.00		Date of Receipt MM / DD / YYYY 11 / 16 / 2006 <b>Transaction ID:</b> A2006-1746755 Amount of Each Receipt this Period 360.00
<b>B.</b> Full Name (Last, First, Middle Initial) David P Schieldrop Mailing Address 745 SEVENTH AVENUE City State Zip Code NEW YORK NY 10019 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation EXECUTIVE Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 210.00		Date of Receipt MM / DD / YYYY 10 / 31 / 2006 <b>Transaction ID:</b> A2006-1537167 Amount of Each Receipt this Period 10.00
<b>C.</b> Full Name (Last, First, Middle Initial) David P Schieldrop Mailing Address 745 SEVENTH AVENUE City State Zip Code NEW YORK NY 10019 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation EXECUTIVE Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 220.00		Date of Receipt MM / DD / YYYY 11 / 03 / 2006 <b>Transaction ID:</b> A2006-1555907 Amount of Each Receipt this Period 10.00

**SUBTOTAL** of Receipts This Page (optional) .....

380.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 119 / 146  
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)

David P Schieldrop

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

230.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 1 / 1 7 / 2 0 0 6

Transaction ID: A2006-1668713

Amount of Each Receipt this Period

10.00

B. Full Name (Last, First, Middle Initial)

Glenn H Schiffman

Mailing Address 745 SEVENTH AVE 13TH FLOOR

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 1 / 2 7 / 2 0 0 6

Transaction ID: A2006-1666705

Amount of Each Receipt this Period

250.00

C. Full Name (Last, First, Middle Initial)

David M Schlageter

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1260.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 0 / 3 1 / 2 0 0 6

Transaction ID: A2006-1537083

Amount of Each Receipt this Period

60.00

SUBTOTAL of Receipts This Page (optional) .....

320.00

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 120 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

**A.** Full Name (Last, First, Middle Initial)  
David M Schlagerter  
Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1320.00

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 0 3 / 2 0 0 6

Transaction ID: A2006-1555819

Amount of Each Receipt this Period

60.00

**B.** Full Name (Last, First, Middle Initial)  
David M Schlagerter  
Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1350.00

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 1 7 / 2 0 0 6

Transaction ID: A2006-1668629

Amount of Each Receipt this Period

30.00

**C.** Full Name (Last, First, Middle Initial)  
David a Schwadron  
Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
VICE PRESIDENT

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

336.00

Date of Receipt

M M / D D / Y Y Y Y  
1 0 / 3 1 / 2 0 0 6

Transaction ID: A2006-1537113

Amount of Each Receipt this Period

16.00

**SUBTOTAL** of Receipts This Page (optional) .....

106.00

**TOTAL** This Period (last page this line number only) .....



**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 121 / 146

(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

<b>A.</b> Full Name (Last, First, Middle Initial) David a Schwadron Mailing Address 745 SEVENTH AVENUE City State Zip Code NEW YORK NY 10019 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation VICE PRESIDENT Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 352.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 0 3 / 2 0 0 6 <b>Transaction ID:</b> A2006-1555850 Amount of Each Receipt this Period 16.00
<b>B.</b> Full Name (Last, First, Middle Initial) David a Schwadron Mailing Address 745 SEVENTH AVENUE City State Zip Code NEW YORK NY 10019 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation VICE PRESIDENT Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 368.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 1 7 / 2 0 0 6 <b>Transaction ID:</b> A2006-1668660 Amount of Each Receipt this Period 16.00
<b>C.</b> Full Name (Last, First, Middle Initial) Mindy Schwazpfel Mailing Address 45 Peach Dr City State Zip Code Rosyn NY 11576 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation EXECUTIVE Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 315.00		Date of Receipt M M / D D / Y Y Y Y Y 1 0 / 3 1 / 2 0 0 6 <b>Transaction ID:</b> A2006-1537124 Amount of Each Receipt this Period 15.00

**SUBTOTAL** of Receipts This Page (optional) .....

47.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 122 / 146  
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. Mindy Schwazpfel

Mailing Address 45 Peach Dr

City State Zip Code  
 Rosyn NY 11576

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

330.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 1 / 0 3 / 2 0 0 6

Transaction ID: A2006-1555861

Amount of Each Receipt this Period

15.00

Full Name (Last, First, Middle Initial)

B. Mindy Schwazpfel

Mailing Address 45 Peach Dr

City State Zip Code  
 Rosyn NY 11576

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

345.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 1 / 1 7 / 2 0 0 6

Transaction ID: A2006-1668671

Amount of Each Receipt this Period

15.00

Full Name (Last, First, Middle Initial)

C. Mark G Shafir

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1050.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 0 / 3 1 / 2 0 0 6

Transaction ID: A2006-1537178

Amount of Each Receipt this Period

50.00

SUBTOTAL of Receipts This Page (optional) .....

80.00

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 123 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

**A.** Full Name (Last, First, Middle Initial)  
Mark G Shafir  
Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1100.00

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 0 3 / 2 0 0 6

Transaction ID: A2006-1555920

Amount of Each Receipt this Period

50.00

**B.** Full Name (Last, First, Middle Initial)  
Mark G Shafir  
Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1150.00

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 1 7 / 2 0 0 6

Transaction ID: A2006-1668724

Amount of Each Receipt this Period

50.00

**C.** Full Name (Last, First, Middle Initial)  
David n Sherr  
Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
SENIOR VICE PRESIDENT

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

420.00

Date of Receipt

M M / D D / Y Y Y Y  
1 0 / 3 1 / 2 0 0 6

Transaction ID: A2006-1537147

Amount of Each Receipt this Period

20.00

**SUBTOTAL** of Receipts This Page (optional) .....

120.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 124 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

<b>A.</b> Full Name (Last, First, Middle Initial) David n Sherr		Date of Receipt M M / D D / Y Y Y Y 1 1 / 0 3 / 2 0 0 6
Mailing Address 745 SEVENTH AVENUE		
City NEW YORK	State NY	Transaction ID: A2006-1555885 Amount of Each Receipt this Period 20.00
Zip Code 10019		
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 20.00
Name of Employer LEHMAN BROTHERS HOLDINGS INC.	Occupation SENIOR VICE PRESIDENT	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 440.00	

<b>B.</b> Full Name (Last, First, Middle Initial) David n Sherr		Date of Receipt M M / D D / Y Y Y Y 1 1 / 1 7 / 2 0 0 6
Mailing Address 745 SEVENTH AVENUE		
City NEW YORK	State NY	Transaction ID: A2006-1668694 Amount of Each Receipt this Period 20.00
Zip Code 10019		
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 20.00
Name of Employer LEHMAN BROTHERS HOLDINGS INC.	Occupation SENIOR VICE PRESIDENT	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 460.00	

<b>C.</b> Full Name (Last, First, Middle Initial) David A Siffringer		Date of Receipt M M / D D / Y Y Y Y 1 0 / 3 1 / 2 0 0 6
Mailing Address 745 SEVENTH AVENUE		
City NEW YORK	State NY	Transaction ID: A2006-1537103 Amount of Each Receipt this Period 20.00
Zip Code 10019		
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 20.00
Name of Employer LEHMAN BROTHERS HOLDINGS INC.	Occupation EXECUTIVE	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 420.00	

**SUBTOTAL** of Receipts This Page (optional) .....

60.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 125 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

**A.** Full Name (Last, First, Middle Initial)  
David A Siffringer  
Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

440.00

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 0 3 / 2 0 0 6

Transaction ID: A2006-1555840

Amount of Each Receipt this Period

20.00

**B.** Full Name (Last, First, Middle Initial)  
David A Siffringer  
Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

460.00

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 1 7 / 2 0 0 6

Transaction ID: A2006-1668650

Amount of Each Receipt this Period

20.00

**C.** Full Name (Last, First, Middle Initial)  
Lawrence G Simons  
Mailing Address 35 Pamela Road

City State Zip Code  
Cortlandt Manor NY 10567

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

315.00

Date of Receipt

M M / D D / Y Y Y Y  
1 0 / 3 1 / 2 0 0 6

Transaction ID: A2006-1537099

Amount of Each Receipt this Period

15.00

**SUBTOTAL** of Receipts This Page (optional) .....

55.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 126 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

<b>A.</b> Full Name (Last, First, Middle Initial) Lawrence G Simons		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 0 3 / 2 0 0 6
Mailing Address 35 Pamela Road		<b>Transaction ID:</b> A2006-1555836
City Cortlandt Manor	State NY	Zip Code 10567
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 15.00
Name of Employer LEHMAN BROTHERS HOLDINGS INC.	Occupation EXECUTIVE	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 330.00	

<b>B.</b> Full Name (Last, First, Middle Initial) Lawrence G Simons		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 1 7 / 2 0 0 6
Mailing Address 35 Pamela Road		<b>Transaction ID:</b> A2006-1668646
City Cortlandt Manor	State NY	Zip Code 10567
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 15.00
Name of Employer LEHMAN BROTHERS HOLDINGS INC.	Occupation EXECUTIVE	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 345.00	

<b>C.</b> Full Name (Last, First, Middle Initial) James p Smith		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 0 3 / 2 0 0 6
Mailing Address 745 SEVENTH AVENUE		<b>Transaction ID:</b> A2006-1555805
City NEW YORK	State NY	Zip Code 10019
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 20.00
Name of Employer LEHMAN BROTHERS HOLDINGS INC.	Occupation MANAGING DIRECTOR	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 220.00	

**SUBTOTAL** of Receipts This Page (optional) .....

50.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 127 / 146  
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

**A.** Alan A Sparks

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

630.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 0 / 3 1 / 2 0 0 6

Transaction ID: A2006-1537073

Amount of Each Receipt this Period

30.00

Full Name (Last, First, Middle Initial)

**B.** Alan A Sparks

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

660.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 1 / 0 3 / 2 0 0 6

Transaction ID: A2006-1555808

Amount of Each Receipt this Period

30.00

Full Name (Last, First, Middle Initial)

**C.** Alan A Sparks

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

690.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 1 / 1 7 / 2 0 0 6

Transaction ID: A2006-1668619

Amount of Each Receipt this Period

30.00

**SUBTOTAL** of Receipts This Page (optional) .....

90.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 128 / 146  
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

**A.** Jeremiah B Stafford

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

630.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 1 0 / 3 1 / 2 0 0 6

Transaction ID: A2006-1537067

Amount of Each Receipt this Period

30.00

Full Name (Last, First, Middle Initial)

**B.** Jeremiah B Stafford

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

660.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 1 1 / 0 3 / 2 0 0 6

Transaction ID: A2006-1555799

Amount of Each Receipt this Period

30.00

Full Name (Last, First, Middle Initial)

**C.** Jeremiah B Stafford

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

690.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 1 1 / 1 7 / 2 0 0 6

Transaction ID: A2006-1668613

Amount of Each Receipt this Period

30.00

**SUBTOTAL** of Receipts This Page (optional) .....

90.00

**TOTAL** This Period (last page this line number only) .....



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 129 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. John K Sweeney

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
MANAGING DIRECTOR

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

945.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 1 0 / 3 1 / 2 0 0 6

Transaction ID: A2006-1537157

Amount of Each Receipt this Period

45.00

Full Name (Last, First, Middle Initial)

B. John K Sweeney

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
MANAGING DIRECTOR

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

990.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 1 1 / 0 3 / 2 0 0 6

Transaction ID: A2006-1555896

Amount of Each Receipt this Period

45.00

Full Name (Last, First, Middle Initial)

C. John K Sweeney

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
MANAGING DIRECTOR

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1035.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 1 1 / 1 7 / 2 0 0 6

Transaction ID: A2006-1668704

Amount of Each Receipt this Period

45.00

SUBTOTAL of Receipts This Page (optional) .....

135.00

TOTAL This Period (last page this line number only) .....

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 130 / 146

(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

<b>A.</b> Full Name (Last, First, Middle Initial) Peter j Taylor Mailing Address 745 SEVENTH AVENUE City NEW YORK State NY Zip Code 10019 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation VICE PRESIDENT Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 525.00		Date of Receipt <table border="1"> <tr> <td>M</td><td>M</td><td>/</td><td>D</td><td>D</td><td>/</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td> </tr> <tr> <td>1</td><td>0</td><td></td><td>3</td><td>1</td><td></td><td>2</td><td>0</td><td>0</td><td>6</td> </tr> </table> <b>Transaction ID:</b> A2006-1537059 Amount of Each Receipt this Period <table border="1"> <tr> <td>25.00</td> </tr> </table>	M	M	/	D	D	/	Y	Y	Y	Y	1	0		3	1		2	0	0	6	25.00
M	M	/	D	D	/	Y	Y	Y	Y														
1	0		3	1		2	0	0	6														
25.00																							
<b>B.</b> Full Name (Last, First, Middle Initial) Peter j Taylor Mailing Address 745 SEVENTH AVENUE City NEW YORK State NY Zip Code 10019 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation VICE PRESIDENT Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 550.00		Date of Receipt <table border="1"> <tr> <td>M</td><td>M</td><td>/</td><td>D</td><td>D</td><td>/</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td> </tr> <tr> <td>1</td><td>1</td><td></td><td>0</td><td>3</td><td></td><td>2</td><td>0</td><td>0</td><td>6</td> </tr> </table> <b>Transaction ID:</b> A2006-1555791 Amount of Each Receipt this Period <table border="1"> <tr> <td>25.00</td> </tr> </table>	M	M	/	D	D	/	Y	Y	Y	Y	1	1		0	3		2	0	0	6	25.00
M	M	/	D	D	/	Y	Y	Y	Y														
1	1		0	3		2	0	0	6														
25.00																							
<b>C.</b> Full Name (Last, First, Middle Initial) Peter j Taylor Mailing Address 745 SEVENTH AVENUE City NEW YORK State NY Zip Code 10019 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation VICE PRESIDENT Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 575.00		Date of Receipt <table border="1"> <tr> <td>M</td><td>M</td><td>/</td><td>D</td><td>D</td><td>/</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td> </tr> <tr> <td>1</td><td>1</td><td></td><td>1</td><td>7</td><td></td><td>2</td><td>0</td><td>0</td><td>6</td> </tr> </table> <b>Transaction ID:</b> A2006-1668605 Amount of Each Receipt this Period <table border="1"> <tr> <td>25.00</td> </tr> </table>	M	M	/	D	D	/	Y	Y	Y	Y	1	1		1	7		2	0	0	6	25.00
M	M	/	D	D	/	Y	Y	Y	Y														
1	1		1	7		2	0	0	6														
25.00																							

**SUBTOTAL** of Receipts This Page (optional) .....

75.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 131 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

<b>A.</b> Full Name (Last, First, Middle Initial) Raymond Testa Mailing Address 745 SEVENTH AVENUE City State Zip Code NEW YORK NY 10019 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation EXECUTIVE Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 550.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 0 3 / 2 0 0 6 <b>Transaction ID:</b> A2006-1555887 Amount of Each Receipt this Period 50.00
<b>B.</b> Full Name (Last, First, Middle Initial) Paul g Tobin Mailing Address 745 SEVENTH AVENUE City State Zip Code NEW YORK NY 10019 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation MANAGING DIRECTOR Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 550.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 0 3 / 2 0 0 6 <b>Transaction ID:</b> A2006-1555821 Amount of Each Receipt this Period 50.00
<b>C.</b> Full Name (Last, First, Middle Initial) Frank P Turner Mailing Address 745 SEVENTH AVENUE City State Zip Code NEW YORK NY 10019 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation EXECUTIVE Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 630.00		Date of Receipt M M / D D / Y Y Y Y Y 1 0 / 3 1 / 2 0 0 6 <b>Transaction ID:</b> A2006-1537079 Amount of Each Receipt this Period 30.00

**SUBTOTAL** of Receipts This Page (optional) .....

130.00

**TOTAL** This Period (last page this line number only) .....

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 132 / 146

(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

<b>A.</b> Full Name (Last, First, Middle Initial) Frank P Turner Mailing Address 745 SEVENTH AVENUE City State Zip Code NEW YORK NY 10019 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation EXECUTIVE Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 660.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 0 3 / 2 0 0 6 <b>Transaction ID:</b> A2006-1555815 Amount of Each Receipt this Period 30.00
<b>B.</b> Full Name (Last, First, Middle Initial) Frank P Turner Mailing Address 745 SEVENTH AVENUE City State Zip Code NEW YORK NY 10019 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation EXECUTIVE Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 735.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 1 7 / 2 0 0 6 <b>Transaction ID:</b> A2006-1668625 Amount of Each Receipt this Period 75.00
<b>C.</b> Full Name (Last, First, Middle Initial) Joseph g Uzelac Mailing Address 745 SEVENTH AVENUE City State Zip Code NEW YORK NY 10019 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation MANAGING DIRECTOR Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 525.00		Date of Receipt M M / D D / Y Y Y Y Y 1 0 / 3 1 / 2 0 0 6 <b>Transaction ID:</b> A2006-1537076 Amount of Each Receipt this Period 25.00

**SUBTOTAL** of Receipts This Page (optional) .....

130.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 133 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. Joseph g Uzelac

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
MANAGING DIRECTOR

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

550.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 1 1 / 0 3 / 2 0 0 6

Transaction ID: A2006-1555811

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

B. Joseph g Uzelac

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
MANAGING DIRECTOR

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

575.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 1 1 / 1 7 / 2 0 0 6

Transaction ID: A2006-1668622

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

C. Keith C Wagro

Mailing Address 13465 Providence Lalce Drive

City State Zip Code  
 Alpharetta GA 30004

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

315.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 1 0 / 3 1 / 2 0 0 6

Transaction ID: A2006-1537095

Amount of Each Receipt this Period

15.00

SUBTOTAL of Receipts This Page (optional) .....

65.00

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 134 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

<b>A.</b> Full Name (Last, First, Middle Initial) Keith C Wagro		Date of Receipt M M / D D / Y Y Y Y 1 1 / 0 3 / 2 0 0 6
Mailing Address 13465 Providence Lalde Drive		
City Alpharetta	State GA	Zip Code 30004
FEC ID number of contributing federal political committee. C		<b>Transaction ID:</b> A2006-1555832
Name of Employer LEHMAN BROTHERS HOLDINGS INC.		Amount of Each Receipt this Period 15.00
Occupation EXECUTIVE		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 330.00	

<b>B.</b> Full Name (Last, First, Middle Initial) Keith C Wagro		Date of Receipt M M / D D / Y Y Y Y 1 1 / 1 7 / 2 0 0 6
Mailing Address 13465 Providence Lalde Drive		
City Alpharetta	State GA	Zip Code 30004
FEC ID number of contributing federal political committee. C		<b>Transaction ID:</b> A2006-1668642
Name of Employer LEHMAN BROTHERS HOLDINGS INC.		Amount of Each Receipt this Period 15.00
Occupation EXECUTIVE		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 345.00	

<b>C.</b> Full Name (Last, First, Middle Initial) Jon p Walker		Date of Receipt M M / D D / Y Y Y Y 1 0 / 3 1 / 2 0 0 6
Mailing Address 745 SEVENTH AVENUE		
City NEW YORK	State NY	Zip Code 10019
FEC ID number of contributing federal political committee. C		<b>Transaction ID:</b> A2006-1537112
Name of Employer LEHMAN BROTHERS HOLDINGS INC.		Amount of Each Receipt this Period 50.00
Occupation VICE PRESIDENT		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 1050.00	

**SUBTOTAL** of Receipts This Page (optional) .....

80.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 135 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

<b>A.</b> Full Name (Last, First, Middle Initial) Jon p Walker Mailing Address 745 SEVENTH AVENUE City NEW YORK State NY Zip Code 10019 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation VICE PRESIDENT Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1100.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 0 3 / 2 0 0 6 <b>Transaction ID: A2006-1555849</b> Amount of Each Receipt this Period 50.00
<b>B.</b> Full Name (Last, First, Middle Initial) Jon p Walker Mailing Address 745 SEVENTH AVENUE City NEW YORK State NY Zip Code 10019 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation VICE PRESIDENT Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1150.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 1 7 / 2 0 0 6 <b>Transaction ID: A2006-1668659</b> Amount of Each Receipt this Period 50.00
<b>C.</b> Full Name (Last, First, Middle Initial) Gary S Weinstein Mailing Address 745 SEVENTH AVENUE City NEW YORK State NY Zip Code 10019 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation MANAGING DIRECTOR Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1050.00		Date of Receipt M M / D D / Y Y Y Y Y 1 0 / 3 1 / 2 0 0 6 <b>Transaction ID: A2006-1537189</b> Amount of Each Receipt this Period 50.00

**SUBTOTAL** of Receipts This Page (optional) .....

150.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 136 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

**A.** Full Name (Last, First, Middle Initial)  
Gary S Weinstein  
Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
MANAGING DIRECTOR

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1100.00

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 0 3 / 2 0 0 6

Transaction ID: A2006-1555931

Amount of Each Receipt this Period

50.00

**B.** Full Name (Last, First, Middle Initial)  
Gary S Weinstein  
Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
MANAGING DIRECTOR

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1150.00

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 1 7 / 2 0 0 6

Transaction ID: A2006-1668735

Amount of Each Receipt this Period

50.00

**C.** Full Name (Last, First, Middle Initial)  
Kevin White  
Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

410.00

Date of Receipt

M M / D D / Y Y Y Y  
1 0 / 3 1 / 2 0 0 6

Transaction ID: A2006-1537166

Amount of Each Receipt this Period

50.00

**SUBTOTAL** of Receipts This Page (optional) .....

150.00

**TOTAL** This Period (last page this line number only) .....



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 137 / 146  
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

<b>A.</b> Full Name (Last, First, Middle Initial) Kevin White Mailing Address 745 SEVENTH AVENUE City State Zip Code NEW YORK NY 10019 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation EXECUTIVE Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 460.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 0 3 / 2 0 0 6 <b>Transaction ID:</b> A2006-1555906 Amount of Each Receipt this Period 50.00
<b>B.</b> Full Name (Last, First, Middle Initial) Kevin White Mailing Address 745 SEVENTH AVENUE City State Zip Code NEW YORK NY 10019 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation EXECUTIVE Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 510.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 1 7 / 2 0 0 6 <b>Transaction ID:</b> A2006-1668712 Amount of Each Receipt this Period 50.00
<b>C.</b> Full Name (Last, First, Middle Initial) Bradley A Whitman Mailing Address 745 SEVENTH AVENUE City State Zip Code NEW YORK NY 10019 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation EXECUTIVE Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1050.00		Date of Receipt M M / D D / Y Y Y Y Y 1 0 / 3 1 / 2 0 0 6 <b>Transaction ID:</b> A2006-1537057 Amount of Each Receipt this Period 50.00

**SUBTOTAL** of Receipts This Page (optional) .....

150.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 138 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

**A.** Full Name (Last, First, Middle Initial)  
Bradley A Whitman  
Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1100.00

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 0 3 / 2 0 0 6

Transaction ID: A2006-1555789

Amount of Each Receipt this Period

50.00

**B.** Full Name (Last, First, Middle Initial)  
Bradley A Whitman  
Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1150.00

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 1 7 / 2 0 0 6

Transaction ID: A2006-1668603

Amount of Each Receipt this Period

50.00

**C.** Full Name (Last, First, Middle Initial)  
Robert Willens  
Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
MANAGING DIRECTOR

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

840.00

Date of Receipt

M M / D D / Y Y Y Y  
1 0 / 3 1 / 2 0 0 6

Transaction ID: A2006-1537148

Amount of Each Receipt this Period

40.00

**SUBTOTAL** of Receipts This Page (optional) .....

140.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 139 / 146  
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

**A.** Full Name (Last, First, Middle Initial)  
Robert Willens  
Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
MANAGING DIRECTOR

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

880.00

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 0 3 / 2 0 0 6

Transaction ID: A2006-1555886

Amount of Each Receipt this Period

40.00

**B.** Full Name (Last, First, Middle Initial)  
Robert Willens  
Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
MANAGING DIRECTOR

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

920.00

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 1 7 / 2 0 0 6

Transaction ID: A2006-1668695

Amount of Each Receipt this Period

40.00

**C.** Full Name (Last, First, Middle Initial)  
Scott e Willoughby  
Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
VICE PRESIDENT

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

315.00

Date of Receipt

M M / D D / Y Y Y Y  
1 0 / 3 1 / 2 0 0 6

Transaction ID: A2006-1537133

Amount of Each Receipt this Period

15.00

**SUBTOTAL** of Receipts This Page (optional) .....

95.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 140 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. Scott e Willoughby

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
VICE PRESIDENT

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

330.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 1 1 / 0 3 / 2 0 0 6

Transaction ID: A2006-1555870

Amount of Each Receipt this Period

15.00

Full Name (Last, First, Middle Initial)

B. Scott e Willoughby

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
VICE PRESIDENT

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

345.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 1 1 / 1 7 / 2 0 0 6

Transaction ID: A2006-1668680

Amount of Each Receipt this Period

15.00

Full Name (Last, First, Middle Initial)

C. Jeffrey a Zorek

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
SENIOR VICE PRESIDENT

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1050.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 1 0 / 3 1 / 2 0 0 6

Transaction ID: A2006-1537192

Amount of Each Receipt this Period

50.00

SUBTOTAL of Receipts This Page (optional) .....

80.00

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 141 / 146  
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

**A.** Jeffrey a Zorek

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
SENIOR VICE PRESIDENT

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1100.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 1 1 / 0 3 / 2 0 0 6

Transaction ID: A2006-1555934

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

**B.** Jeffrey a Zorek

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
SENIOR VICE PRESIDENT

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1150.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 1 1 / 1 7 / 2 0 0 6

Transaction ID: A2006-1668738

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

**C.** Mark I Zusy

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
SENIOR VICE PRESIDENT

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1050.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 1 0 / 3 1 / 2 0 0 6

Transaction ID: A2006-1537094

Amount of Each Receipt this Period

50.00

**SUBTOTAL** of Receipts This Page (optional) .....

150.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 142 / 146

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

**A.**

Full Name (Last, First, Middle Initial)

Mark I Zusy

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
SENIOR VICE PRESIDENT

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1100.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 1 / 0 3 / 2 0 0 6

Transaction ID: A2006-1555831

Amount of Each Receipt this Period

50.00

**B.**

Full Name (Last, First, Middle Initial)

Mark I Zusy

Mailing Address 745 SEVENTH AVENUE

City State Zip Code  
 NEW YORK NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LEHMAN BROTHERS HOLDINGS  
INC.

Occupation  
SENIOR VICE PRESIDENT

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1150.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 1 / 1 7 / 2 0 0 6

Transaction ID: A2006-1668641

Amount of Each Receipt this Period

50.00

**SUBTOTAL** of Receipts This Page (optional) .....

100.00

**TOTAL** This Period (last page this line number only) .....

27400.29

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 143 / 146

(check only one)

☐ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☒ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

**A.** Full Name (Last, First, Middle Initial)  
Wachovia Securities

Mailing Address 401 South Tryon Street

City State Zip Code  
 Charlotte NC 28288

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Occupation

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2068.04

Date of Receipt

M M / D D / Y Y Y Y  
 1 0 / 3 1 / 2 0 0 6

Transaction ID: A5777

Amount of Each Receipt this Period

233.79

**SUBTOTAL** of Receipts This Page (optional) .....

233.79

**TOTAL** This Period (last page this line number only) .....

233.79

# **SCHEDULE B (FEC Form 3X)** **ITEMIZED DISBURSEMENTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 144 / 146

<input checked="" type="checkbox"/> 21b	<input type="checkbox"/> 22	<input type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

Any Information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

## **A. Wachovia Securities**

Mailing Address 401 South Tryon Street

City Charlotte State NC Zip Code 28288

Purpose of Disbursement

Bank Service Charge

Candidate Name

001  
Category/  
Type

Office Sought: ☐ House  
☐ Senate  
☐ President

State: NC

District:

Disbursement For: 2006  
☐ Primary ☐ General  
☒ Other (specify) ▼

Not Applicable

Transaction ID: B155173

Date of Disbursement

10 / 19 / 2006

Amount of Each Disbursement this Period

40.00

Full Name (Last, First, Middle Initial)

## **B. JP Morgan Chase Bank**

Mailing Address 633 Third Avenue

City New York State NY Zip Code 10017

Purpose of Disbursement

Admin expen-Tax Payment

Candidate Name

001  
Category/  
Type

Office Sought: ☐ House  
☐ Senate  
☐ President

State: NY

District:

Disbursement For: 2006  
☐ Primary ☐ General  
☒ Other (specify) ▼

Not Applicable

Transaction ID: B155167

Date of Disbursement

10 / 26 / 2006

Amount of Each Disbursement this Period

387.38

**SUBTOTAL** of Disbursements This Page (optional) .....

427.38

**TOTAL** This Period (last page this line number only) .....

427.38



# **SCHEDULE B (FEC Form 3X)** **ITEMIZED DISBURSEMENTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 145 / 146

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

Any Information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

**A.** Committee to Re-Elect Vito Fossella

Mailing Address P.O. Box 120197

City  
Staten Island

State  
NY

Zip Code  
10312

Purpose of Disbursement  
G-2006 U.S. House 13 NY

Candidate Name  
Vito Fossella

011  
Category/  
Type

Office Sought: ☒ House  
☐ Senate  
☐ President

Disbursement For: 2006  
☐ Primary ☒ General  
☐ Other (specify) ▼

State: NY District: 13

Transaction ID: B154064

Date of Disbursement

10 / 31 / 2006

Amount of Each Disbursement this Period

1000.00

**SUBTOTAL** of Disbursements This Page (optional) .....

1000.00

**TOTAL** This Period (last page this line number only) .....

1000.00

Form/Schedule: **SA11A1**

Transaction ID:

Please note that the PAC is aware that we follow an alternate method of itemizing payroll receipts rather than the suggested manner of disclosing a single total for the reporting period along with the amount deducted per pay period. Because the amounts collected per pay period may change often during the time covered by a single report, we find that reporting individual deductions separately more accurately discloses how the receipts are collected.

\*\*\*\*\*